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NO. _____

IN THE

SUPREME COURT OF THE UNITED STATES

October Term, 1986

CHARLES WALKER, Petitioner

-vs-

PEOPLE OF THE STATE OF ILLINOIS,
Respondent

PETITION FOR WRIT OF CERTIORARI

TO THE SUPREME COURT OF ILLINOIS

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QUESTION PRESENTED

Is Boykin v. Alabama, 395 U.S. 238, 89 S.Ct. 1709, 23 L.Ed.2d 274 (1969) violated, where the state trial court accepted a guilty plea without informing capital defendant that he faced a minimum term of natural life imprisonment, while at the same time erroneously suggesting that he faced a twenty year minimum term with the possibility of parole?

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The Petitioner Charles Walker respectfully prays that a writ of certiorari issue to review the judgment and opinion of the Illinois Supreme Court, entered in the above-entitled proceeding on December 20, 1985.

OPINION BELOW

The opinion of the Supreme Court of Illinois is pending publication. The slip opinion is attached to this petition as Appendix A.

JURISDICTION

The judgment of the Supreme Court of Illinois was entered on December 20, 1985. (Appendix A) A petition for rehearing was denied on February 4, 1986. (Appendix B) This original petition was filed within sixty (60) days of the Illinois Supreme Court's denial of rehearing, and is being re-submitted pursuant to this Court's Rule 33 and Rule 45. The jurisdiction of this Court is invoked under 28 U.S.C. Sec. 1257(3).

Constitutional and Statutory Provisions Involved

The Eighth Amendment to the Constitution of the United States provides:

> Excessive bail shall not be required, nor excessive fines imposed, nor cruel and unusual punishments inflicted.

The Fourteenth Amendment to the Constitution of the United States provides in pertinent part:

> No State shall ...deprive any person of life, liberty or property without due process of law...

The provision for convening a capital sentencing hearing following an Illinois defendant's conviction for murder is found in Ill.Rev.Stat. 1984, Ch. 38, Sec. 9-1(d). The statutory aggravating factors involved in the above-entitled cause are found in Ill.Rev.Stat. 1984, Ch. 38, Secs. 9-1(b)(3) and 9-1(b)(6).

The statutory provisions governing the admonishments required of a state trial court when accepting an Illinois defendant's guilty plea are found in Ill.Rev.Stat. 1984, Ch. 110A, Sec. 402(a):

> (a) Admonitions to Defendant.

> The court shall not accept a plea of guilty without first, by addressing the defendant personally in open court, informing him of and determining that he understands the following:
>
> (1) the nature of the charge;
>
> (2) the minimum and maximum sentence prescribed by law...

The statutory provisions which mandate a term of natural life imprisonment for an Illinois defendant who pleads guilty to two murder offenses is found in Ill.Rev.Stat. 1980, Ch. 38, Sec. 1005-8-1(a)(1)(c):

> If the defendant...is found guilty of murdering more than one victim, the court shall sentence the defendant to a term of natural life imprisonment.

STATEMENT OF THE CASE

Petitioner Charles Walker tendered to the state trial court a guilty plea to two murder offenses arising out of a single incident. Under Illinois law, the minimum sentence that the trial court could have imposed for double murder was a term of natural life imprisonment. Ill.Rev.Stat., Ch. 38, Sec. 1005-8-1(a)(1)(c). The maximum sentence under these circumstances was death. Ill.Rev. Stat., 1984, Ch. 38, Sec, 9-1(b)(3).

Upon accepting Petitioner's guilty plea, the Illinois trial court failed to inform him that he faced a minimum term of natural life imprisonment.[1] The trial court also suggested that Petitioner faced

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1 Illinois law requires that the trial court admonish a defendant who tenders a guilty plea of both the minimum and maximum sentence allowed. Ill.Rev.Stat., 1984, Ch. 110A, Sec. 402(a).

a minimum term of 20 years imprisonment, which would allow his release in as few as 10 years. (C. 24-26, 29) See Ill.Rev.Stat. 1984, Ch. 38, Sec. 1003-6-3(a). The trial court further admonished Petitioner of the potential imposition of consecutive sentences, and of possible parole or supervised release terms, all of which were inaccurate to a defendant facing a minimum term of natural life. A sample of the trial court's mis-information included the following:

> That would be 20 to 40 [years], would be the ordinary term. That could be enhanced and doubled, which would be 40 to 80. It could be natural life, it could be any term of years. Could run consecutive. In other words, I could give you a term of 40 years on the first Count, ...then give you a term of 40 years on the second Count to run consecutive to the first Count. In other words, you'd do the first Count before you

> started on the second Count. You understand that?
>
> * * *
>
> Now, there is, after you get out of the penitentiary, if you are sentenced to a term of years on the murder charges, it would be on a term of parole or supervised release for a term of three years.
>
> (C. 24-25)

On direct appeal to the Illinois Supreme Court, Mr. Walker contended that his guilty plea to double murder was neither voluntary nor understanding under Boykin v. Alabama, 395 U.S. 238, 89 S.Ct. 1709, 23 L.Ed.2d 274 (1969). Mr. Walker contended that the state court's admonishments failed to inform him that the statutory minimum sentence was natural life. At the same time, he contended that he was mis-informed that he could receive a 20 year minimum sentence with a potential for his release in 10 years.

Mr. Walker further submitted that the statutory minimum of natural life for double murder was effective in July 1980, three years prior to his own sentencing in October 1983. Ill.Rev.Stat. 1980, Ch. 38, Sec. 1005-8-1(a)(1)(c). Mr. Walker noted that in early 1984 the Illinois Supreme court acknowledged and applied the minimum sentence of natural life to double murder under this statute. People v. Taylor, 102 Ill.2d 301, 464 N.E.2d 1059 (1984). Taylor itself was applied retroactively to several Illinois defendants who were sentenced prior to the decision. People v. Tansil, 127 Ill.App.3d 498, 484 N.E.2d 1169, 1171 (1985) (defendant sentenced to less than natural life in September 1983; the Illinois Supreme Court ordered retroactive application of Taylor wherein defendant later resentenced to a term of natural life imprisonment) (Appendix C); People v. Denson, 139 Ill.App.3d 914, 487 N.E.2d 777, 778 (1985).

In its opinion, the Illinois Supreme Court first observed that the lower court's admonishments may not have been erroneous since Petitioner was sentenced prior to its decision in Taylor. The court offered this observation even though the statutory minimum was in effect for three years, and even though it had applied Taylor retroactively. (Appendix A at 6-7)

The Illinois Supreme Court also concluded that Petitioner's guilty plea was voluntary and understanding because Petitioner "had no expectations that were denied." The opinion noted that after the admonishments, at a separate sentencing proceeding, Mr. Walker urged the jurors to spare his life to the extent that he would even accept life imprisonment as punishment. The opinion suggested that this defense strategy at sentencing evidenced that Petitioner expected life imprison-

ment, and therefore demonstrated that the prior admonishments were not inaccurate and incomplete in violation of state law and constitutional due process. (Appendix A at 7-8)

The Illinois Supreme Court accordingly affirmed Petitioner's convictions and sentence of death.

REASONS FOR GRANTING THE WRIT

THE ILLINOIS SUPREME COURT'S DECISION THAT A CAPITAL DEFENDANT'S GUILTY PLEA TO MURDER WAS CONSTITUTIONALLY VOLUNTARY AND UNDERSTANDING, WHERE THE DEFENDANT WAS NOT INFORMED THAT HE FACED A STATUTORY MINIMUM SENTENCE OF NATURAL LIFE IMPRISONMENT, AND WAS MIS-INFORMED THAT HE COULD RECEIVE A TWENTY YEAR MINIMUM TERM WITH THE POSSIBILITY OF PAROLE, CANNOT BE RECONCILED WITH THIS COURT'S DECISION IN BOYKIN V. ALABAMA, 395 U.S. 239, 89 S.Ct. 1709, 23 L.Ed.2d 274 (1969).

The question of an effective waiver of a federal constitutional right in a state criminal proceeding is governed by federal standards. Douglas v. Alabama, 380 U.S. 415, 85 S.Ct. 1074, 13 L.Ed.2d 934, 938 (1965). A defendant who enters a guilty plea simultaneously waives several constitutional rights, including his privilege against compulsory self-incrimination, his right to trial by jury, and his right to confront his accusers. Boykin v. Alabama, 395 U.S. 238, 89 S.Ct.

1709, 23 L.Ed.2d 274, 279 (1969); United States ex rel. Miller v. McGinnis, 774 F.2d 819 (7th Cir. 1985). Moreover, because a guilty plea is an admission of all elements of a formal criminal charge, it cannot be truly voluntary unless the defendant possesses an understanding of the law in relation to the facts. 23 L.Ed.2d at 280 n. 5 (citing McCarthy v. United States, 394 U.S. 459, 89 S.Ct. 1166, 22 L.Ed.2d 418, 425 (1969). For these reasons, the Illinois Supreme Court's decision to affirm a guilty plea predicated upon gross mis-information of the minimum sentencing term deserves this Court's attention.

The record in this case undisputedly reveals that the state trial court never informed Petitioner in any manner that his tendered plea to two murder offenses mandated a minimum sentence of natural life imprisonment under Illinois law.

Ill.Rev.Stat. 1980, Ch. 38, Sec. 1005-8-1(a)(1)(c). (C. 24-26, 29) Moreover, the record evidences more than the complete absence of accurate information regarding the minimum sentence. It further reveals affirmative mis-statements of law and wholly erroneous admonishments by the trial court regarding the minimum sentence. For example, the trial court persistently referred to the minimum term under Illinois law as "twenty years", openly admonished Petitioner of consecutive sentences, and discussed in detail parole terms, all of which were inaccurate and mis-leading. On its face, this mis-information cannot be considered inconsequential. The difference between inaccurate and accurate information of the minimum sentence here involved no less than the difference between potential release in ten years and natural life imprisonment.

This court's decision in Boykin requires that a defendant's guilty plea be voluntary and understanding, as a matter of constitutional due process. 23 L.Ed.2d at 280. To facilitate a voluntary and understanding plea, Boykin noted in particular that a defendant should be advised of "the permissible range of sentences." This Court accordingly concluded:

> A majority of criminal convictions are obtained after a plea of guilty. If these convictions are to be insulated from attack, the trial court is best advised to conduct an on the record examination of the defendant which should include, inter alia, an attempt to satisfy itself that the defendant understands the nature of the charges, his right to a jury trial, the acts sufficient to constitute the offense for which he is charged and the permissible range of sentences.

> 23 L.Ed.2d at 280 n. 7 (quoting Commonwealth ex rel. West v. Rundle, 428 P.A. 102, 105-106, 237 A.2d 196, 197-198 (1968)). (emphasis added)

Contrary to Boykin, Petitioner here was given inaccurate and incomplete information on the permissible range of sentences. It is thus apparent that he could not have possessed an understanding of the law in relation to his guilty plea to double murder. The result is that his plea could not be truly voluntary, because he did not possess an understanding of the law in relation to the facts. Boykin, 23 L.Ed.2d at 280 n. 5.

The Illinois Supreme Court affirmed Petitioner's guilty plea in part by suggesting that state law might not have required a mandatory minimum sentence of natural life to double murder at the time Petitioner was sentenced. (Appendix A at 6-7) This procedural red herring should

be ignored by this Court. The pertinent statute requiring a mandatory term of natural life was in effect for three years prior to the time Petitioner was sentenced. Moreover, less than one year after his sentencing, the Illinois Supreme Court acknowledged and thereafter applied retroactively the statutory requirement of natural life for double murder. People v. Taylor, 102 Ill.2d 201, 464 N.E.2d 1059 (1984); see People v. Tansil, 137 Ill.App.3d 498, 484 N.E.2d 1169, 1171 (1985); People v. Denson, 139 Ill.App.3d 914, 487 N.E.2d 777, 778 (1985). Tansil is particularly instructive, because the defendant there was sentenced prior to the time Petitioner was sentenced, and on appeal by the prosecution the Illinois Supreme Court applied Taylor retroactively. (Appendix C) Similarly, had any sentence less than natural life been imposed upon Mr. Walker during sentencing, an appeal by the prosecution

to the Illinois Supreme Court would have resulted in the application of Taylor and the imposition of natural life imprisonment.

The Illinois Supreme Court further suggested that on the merits Boykin was satisfied because Petitioner "had no expectations that were denied." (Appendix A at 7-8) The court noted that Petitioner urged his capital sentencing jury, in a proceeding conducted literally months after his guilty plea was tendered, to spare his life even to the extent that he would accept life imprisonment as punishment. The court reasoned that in view of this defense strategy it could be concluded that Petitioner did not expect anything less than that which could be lawfully imposed; to wit, natural life imprisonment. The court concluded that Petitioner's prior guilty plea was therefore voluntarily and understandingly entered.

The Illinois Supreme Court's reasoning is fundamentally flawed, since constitutionally determining Petitioner's understanding of the consequences of his guilty plea is irrelevant to his defense strategy at sentencing. If anything, the court overlooked that Petitioner's "expectations" were predicated on the gross mis-information given to him on the sentencing options available with respect to the plea. Accordingly, the Illinois Supreme Court's serious departure from Boykin establishes that consideration of the matter by this Court is essential. See United States ex rel. Miller v. McGinnis, 774 F.2d 819, 823-825 (7th Cir. 1985) (Boykin violated where trial court accepted defendant's guilty plea without informing him of parole terms under Illinois law, even though defendant was properly admonished of the minimum and maximum sentences allowed); People v.

Fred, 17 Ill.App.3d 730, 308 N.E.2d 219, 220-221 (1974) (Boykin violated where trial court accepted guilty plea after mis-informing defendant of potential minimum sentence under Illinois law); Pitts v. United States, 763 F.2d 197, 199-201 (6th Cir. 1985) (Boykin violated where trial court accepted guilty plea after mis-advising defendant of the potential maximum sentence, even though a lesser lawful sentence was actually imposed); Cf. Kennedy v. Maggio, 725 F.2d 269, 272-273 (5th Cir. 1984) (sentence vacated under Boykin principles where trial court and counsel mis-informed defendant pleading guilty that death penalty was maximum potential sentence rather than life imprisonment, even though the lawful maximum life sentence was actually imposed).

In addition to the facially inaccurate admonishments by the trial court at

the hearing on Petitioner's guilty plea, further evidence of the court's inaccurate admonitions surfaced during the subsequent sentencing proceeding. Asked if he knew the minimum sentence for murder, Mr. Walker informed the prosecutor: "[T]wenty years." (R. 310) In addition, the prosecutor informed the sentencing jury during his closing argument: "And [counsel] keeps telling you about life imprisonment....No where in that jury instruction does it read life imprisonment." (R. 331) The evidence, in sum, establishes that all the parties were proceeding on a serious mis-apprehension of the law. A conviction and sentence of death should not be upheld under such circumstances.

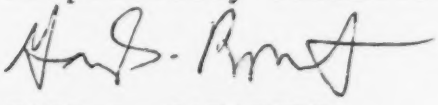
"What is at stake for an accused facing death or imprisonment demands the utmost solicitude of which courts are capable in canvassing the matter with the accused to make sure he had a full

understanding of what the plea connotes and of its consequence." Boykin, 23 L.Ed.2d at 280. This maxim was not followed by the state trial court in accepting Petitioner's guilty plea, and was disregarded by the Illinois Supreme Court in upholding the plea. Plenary donsideration of the matter by this Court is therefore required.

CONCLUSION

For the above reasons, Petitioner CHARLES WALKER prays that a writ of certiorari issue to review the judgment and opinion of the Supreme Court of Illinois.

Respectfully submitted,



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APPENDIX A

Docket No. 59212—Agenda 1—March 1985.
The PEOPLE OF THE STATE OF ILLINOIS, Appellee, v. CHARLES WALKER, Appellant.

JUSTICE WARD delivered the opinion of the court:

The defendant, Charles Walker, pleaded guilty in the circuit court of St. Clair County to two counts of murder (Ill. Rev. Stat. 1983, ch. 38, par. 9—1) and to one count of armed robbery (Ill. Rev. Stat. 1983, ch. 38, par. 18—2). The court entered judgments on the pleas, and a jury was impaneled to decide whether the death sentence should be imposed. The jury found statutory aggravating factors to exist (Ill. Rev. Stat. 1983, ch. 38, pars. 9—1(3), (6)) and determined there were no factors in mitigation sufficient to preclude imposition of the death penalty. The court sentenced the defendant to death on the murder counts and imposed a 30-year term of imprisonment on the armed-robbery count. The death sentence was stayed under our Rule 609 (87 Ill. 2d R. 609(a)) pending direct appeal to this court (Ill. Const. 1970, art. VI, sec. 4(b); 87 Ill. 2d R. 603).

On the morning of June 19, 1983, the bodies of Sharon Winker and Kevin Paule were found on the west bank of Silver Creek one-half mile west of Mascoutah. St. Clair County deputy sheriffs found Winker, slumped forward and with her hands bound behind her with tape, near a path leading into a wooded area. She had been shot once behind the left ear. Paule was found approximately 20 feet from Winker. His hands were taped together and his arms were taped behind him and secured around a tree. He had been shot in the right temple. The deputy sheriffs found a .25-caliber shell casing four feet from Paule's body. They also found two lawn chairs on the bank of the creek.

An autopsy revealed that Winker had been shot in the left occipital portion of the skull and that the bullet lodged in the left frontal lobe of her brain. This caused a laceration and swelling of the brain, resulting in death. Paule died from a single shot which left a bullet lodged in the left parietal lobe of the brain, similarly causing a fatal laceration and swelling. The pathologist recovered spent .25-caliber pellets from the skull of each victim.

On July 15, 1983, Walker was apprehended by authorities in Walden, Colorado. They had been notified by the St. Clair County sheriff's department that he was a suspect in two murders and might be in Colorado. He was returned to St. Clair County, where he was arraigned on July 27. Walker

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waived preliminary hearing and stated that he wished to plead guilty to two counts of murder and one count of armed robbery. The court read the charges to him, explained the rights that would be waived by a guilty plea, advised him of the range of possible sentences that he might receive on each charge, told him of the prosecution's intention to seek the death penalty, and ascertained that no threats or promises had been made in exchange for his pleas. The court accepted the defendant's plea of guilty on each count; the defendant then requested that a jury be impaneled to determine whether the death penalty should be imposed.

In the first phase of the sentencing hearing, at which the jury was to determine whether Walker was eligible for the death penalty, St. Clair County Sheriff Mearl Justus and Lieutenant James Lay testified that they accompanied the defendant from Colorado to the St. Clair County jail. Walker did not talk to either of them regarding the charges while they were en route. At the jail, Walker waived his rights under *Miranda v. Arizona* (1966), 384 U.S. 436, 16 L. Ed. 2d 694, 86 S. Ct. 1602, and confessed to the murders and to an armed robbery that was committed the same night at a nearby tavern. Lieutenant Lay transcribed the confession, and this statement, signed by the defendant, was read into evidence during the first phase of the sentencing hearing.

The defendant told the officers that he left Fayetteville on the morning of June 18, 1983, to fish at the reservoir near Mascoutah. Around noon, he drove his red Pontiac convertible to Mascoutah in order to buy a 12 pack of beer. He returned to the reservoir and after fishing there for half an hour, left to fish the west bank of Silver Creek. Walker said that an hour later Kevin Paule and Sharon Winker, who he did not recognize, came and set up two lawn chairs and fishing equipment along the bank of the creek. Walker conversed with the couple for a short while and then, he said, decided to rob them. He said that he pointed a .25-caliber gun at them and took Paule's wallet, which contained $40. Walker then directed Paule and Winker along a path 30 to 40 feet into a wooded area where he bound their hands behind them and secured them around trees with tape from his car. Walker stated that he was in the process of taping Winker when Paule said that he recalled the defendant and knew his name to be Walker. Walker said he made the decision to kill the couple so that he would not later be identified. He shot Paule and then Winker.

Walker told the officers that he put the couple's fishing

equipment in his car, and then drove Winker's car to Mascoutah to buy more beer. He returned to the reservoir, abandoned Winker's car, and walked to his own auto. Walker drove to the home of his girlfriend, Ramona Daugherty, in Fayetteville and, informed by his niece that Ramona was not at home, he went to a tavern in Fayetteville. He was there 30 minutes later when Officer Debra DeWitt of the Fayetteville police department entered and asked him to accompany her because Walker's niece had reported that Walker had been brandishing a gun when he was at Ramona's house. Walker said in his statement that, once outside the tavern, he was able to get to his car and drive away as DeWitt went to the police car for her radio.

Walker also gave Justus and Lay a statement confessing to the armed robbery at the Runway Lounge near Scott Air Force Base in Mascoutah. He said that later on the night of June 18, he drove to the Runway Lounge and began drinking beer while playing the "game machines." Walker told the officers that after he spent all of his money, he decided to rob the owner, Richard Jones, and the barmaid, Emily Gage, who were the only persons in the tavern. Walker said that he told Jones at gunpoint to give him the money from the cash register and then ordered Jones and Gage to lie on the floor. A car drove into the parking lot as he left the tavern, and Walker said that he heard Jones shouting that he had been robbed. Walker walked to his car but, as he tried to drive from the parking lot, his car was rammed by another car. He shot at the driver of the car, but the driver backed up and then rammed Walker's car again. When the defendant's car stalled, he alighted from it, dropped his gun on the ground near the door of his car and then ran across a field abutting Scott Air Force Base.

In the first phase of the sentencing hearing, Douglas Phillips testified that he was fishing at Silver Creek with his two sons about 2 p.m. on June 18, when he saw the defendant walking along the bank of the creek. The defendant stopped and spoke briefly with Phillips and his sons. Walker was holding a can of beer, but he did not appear to be intoxicated. Phillips testified that at 7:30 that evening he was again at the creek to fish with his sons. He heard a gunshot but said that he did not consider this unusual because the area was popular for target practice and squirrel hunting. Thirty minutes later, the witness saw the defendant walking toward his sons and him. Walker stopped to talk with them, and Phillips testified that the defendant showed no signs of

intoxication at this time. Phillips saw the defendant place some fishing equipment in a red Pontiac.

Richard Jones also testified at the first phase of the sentencing hearing. He said that he owned the Runway Lounge near Mascoutah and had known the defendant as a patron of the tavern. Around 10 p.m. on June 18, Walker entered and sat at the bar. Jones stated that Walker drank four or five beers but did not appear to be intoxicated. At 1 a.m., after all other patrons had left, Walker announced to Jones and the barmaid, Emily Gage, that he intended to rob them. The witness, who was familiar with weapons, saw a "nickel plated .25 caliber automatic" gun in Walker's right hand. Walker told him to open the cash register and, after taking the money in the drawer and some rolls of quarters which were kept under the bar, the defendant ordered Jones and the barmaid to lie face down on the floor. The witness recounted that he warned Walker that he "can't get away with this" because Jones knew his identity, but Walker replied, "Dick, it don't make any difference ***. The police are already looking for me."

As Walker left the tavern, Jones saw the headlights of an oncoming automobile through the tavern windows. He later saw that it was his car being driven by his son. He ran outside and shouted to his son that he had been robbed by the defendant, who was walking towards his Pontiac. Jones told his son to go in the tavern and stay there and, as Walker attempted to leave the parking area, Jones rammed his car into the passenger side of Walker's Pontiac. Jones said that he rammed the defendant's car a second time, striking the driver's door. He saw Walker raise his arm and, as the witness dropped down in the front seat of his car, Jones heard a gunshot. The bullet struck the windshield on the passenger side. The witness testified that he got out of his car and saw the defendant running across a field.

Deputy sheriffs called to investigate the armed robbery at the Runway Lounge found a .25-caliber gun on the ground near the passenger door of the Pontiac. The prosecution's evidence showed that the pellets recovered from the bodies of Paule and Winker, the shell casing found near Paule's body, and a shell casing found on the tavern parking lot were from the gun found at Walker's car. The deputies found in Walker's car a styrofoam cooler, portable radio, tackle box, and fishing poles. Relatives of the victims identified these items at the hearing as property of the slain couple.

The defendant's earlier convictions for attempted murder, armed robbery and two convictions for burglary were read into the record by the prosecutor.

Dr. Daniel Cuneo, a clinical psychologist who examined Walker at the request of defense counsel, testified for the defendant in the mitigation and aggravation phase of the hearing. He said that he diagnosed Walker as an alcoholic and, although he did not consider the defendant insane, the witness was of the opinion that Walker's dependence on alcohol amounted to a severe emotional disturbance. He based his diagnosis on, among other factors, a pattern of pathological alcohol use, his inability to hold a job for any period of time, and changes in personality and intelligence. Dr. Cuneo noted that every conviction in Walker's record occurred after alcohol abuse and concluded that there was a correlation between Walker's criminal behavior and alcohol abuse. The witness observed that Walker showed remorse after learning what he had done while intoxicated, which is consistent with the behavior of alcoholics, and which the witness maintained was manifested in Walker's plea of guilty every time he was charged with an offense. Dr. Cuneo testified that often an alcoholic will develop a tolerance to alcohol that allows him to suppress outward signs of intoxication, but the witness said that when this does occur the alcoholic's judgment would nevertheless be impaired.

The defendant's half-sister, Lila Docherty, testified in mitigation that Walker was a gentle person who had established a good rapport with her young son. Docherty said that Walker's personality changed when he drank, and she recalled an incident when Walker threatened his mother with a knife while intoxicated, and then expressed remorse for the incident when sober. Walker's girlfriend, Ramona Daugherty, too said that Walker was a kind person when sober, but became violent when drunk. She stated that the defendant once shot his dog in a drunken rage, but regretted the shooting the next day. Fayetteville police officer Debra DeWitt testified that Walker always seemed to be drinking and that this habit led to violent behavior. She said that she had seen Walker consume large quantities of alcohol and yet not appear intoxicated.

The defendant first argues that his guilty plea was not knowing or voluntary because the court erred, the defendant says, when explaining the possible sentences on each charge. The court told the defendant that the two murder counts would be considered separately in sentencing and

that the defendant could be sentenced on each count from 20 years' imprisonment to imposition of the death penalty. The court advised Walker that it had discretion to enhance or double any term of years imposed and that one possible sentence was natural life imprisonment. The defendant says this was erroneous under this court's decision in *People v. Taylor* (1984), 102 Ill. 2d 201, where it was held that section 5—8—1(a)(1)(c) of the Unified Code of Corrections (Ill. Rev. Stat. 1983, ch. 38, par. 1005—8—1(a)(1)(c)) mandates a sentence not less than natural life imprisonment upon conviction of murdering more than one person. The defendant argues that because he was pleading guilty to two murders, the admonishment that he might receive a term of years was misleading and therefore did not meet the requirements of Supreme Court Rule 402 (87 Ill. 2d R. 402). He asserts that he was thus denied due process as guaranteed under *Boykin v. Alabama* (1969), 395 U.S. 238, 23 L. Ed. 2d 274, 89 S. Ct. 1709 (plea of guilty must be affirmatively shown to be voluntary and intelligent before it can be accepted).

The State points out that the defendant's failure to raise this question in either of the two motions he brought to withdraw his guilty pleas and vacate the judgments constitutes a waiver of the issue in this court. (87 Ill. 2d R. 604(d).) Our rules do permit a reviewing court, in its discretion, to consider "[p]lain errors or defects affecting substantial rights" even though they were not objected to in the trial court. (87 Ill. 2d R. 615(a); *People v. Holman* (1984), 103 Ill. 2d 133, 176.) Though it is not clear there was plain error we will consider the contention.

It should be noted that it cannot be stated certainly that the admonition was erroneous. When Walker was sentenced, this court had not yet decided *Taylor*, and the appellate court sitting in the first district had held that a trial court had discretion under section 5—8—1(a)(1)(c) to determine whether a term of natural life or for a term of years should be imposed following a conviction for more than one murder. (*People v. Taylor* (1983), 115 Ill. App. 3d 621.) Our holding reversed this decision. It appears that the only other decision on the issue was in *People v. Bush* (1981), 103 Ill. App. 3d 5, where the appellate court in the second district held that the section required the imposition of a sentence of natural life. Too, it can be noted that the trial court here admonished Walker before hearing and before considering the State's recital of the factual basis for the pleas of guilty. It was then not certain that the court would accept pleas of

guilty to both charges of murder. If, for whatever reason, the court did accept the defendant's plea of guilty to only one count, there is no doubt that the court would have had discretion to sentence him to a term of years.

Even if assuming, *arguendo*, that the admonishment on its face could have been misleading, it is nonetheless apparent that the court did comply with Rule 402 and that the defendant was not denied due process. Rule 402 in part provides:

> "In hearings on pleas of guilty, there must be substantial compliance with the following:
>
> (a) Admonitions to Defendant. The court shall not accept a plea of guilty without first, by addressing the defendant personally in open court, informing him of and determining that he understands the following:
>
> • • •
>
> (2) the minimum and maximum sentence prescribed by law, including, when applicable, the penalty to which the defendant may be subjected because of prior convictions or consecutive sentences; ***." 87 Ill. 2d R. 402.

Rule 402 was adopted to insure compliance with the requirement of *Boykin* that a guilty plea must be affirmatively shown to have been made intelligently and voluntarily before a court may accept it. (87 Ill. 2d R. 402, Committee Comments.) This court has held that the requirements of Rule 402 need only be substantially, as the rule states, complied with to satisfy the requirements for due process. (*People v. Stewart* (1984), 101 Ill. 2d 470, 484, quoting *People v. Krantz* (1974), 58 Ill. 2d 187, 192.) The entire record will be considered to determine whether the defendant understood the nature of the charge or charges to which he was pleading. (*People v. Stewart* (1984), 101 Ill. 2d 470, 484.) The record here rejects Walker's contention that he was misled by the court's admonition. He had no expectations that were denied. He was aware of the serious nature of the charges and was informed both by the court and by the prosecutor that, once his pleas of guilty to murder were accepted, the prosecution intended to ask for the death penalty. The defendant and his attorney stated on separate occasions that the defendant expected nothing less than natural life imprisonment as a punishment. During the hearing in mitigation, there was this colloquy between Walker and his attorney:

> "Counsel: Do you want those people to spare you your

life?

Walker: Yes, I do.

Counsel: If they do, would you represent to them that you won't ask of this court anything more than to be penned (*sic*) in the penitentiary for your life?

Walker: Yes, sir.

Counsel: Not a shorter term of sentence?

Walker: Yes, sir.

Counsel: You don't expect any more than to be deprived of your liberty for the rest of your life, do you?

Walker: No, sir."

In closing argument, defense counsel stated that he was "arguing, pleading for a man to be thrown in four dark walls and bars and deprived of his liberty for the rest of his life." Later in argument he asked that Walker be allowed "to spend the rest of his life behind four walls with hostile guards." Walker's contention that he pleaded guilty because of a belief from the court's admonition that he could be sentenced to a term of years is untenable on this record.

Walker argues also that his guilty plea was not knowing or voluntary because the trial court failed to admonish him that a plea of guilty but mentally ill could be offered. He contends that his dependence on alcohol was a sufficient ground for that plea. The State's answer is that the trial court had no duty to advise the defendant of all possible pleas and that the given admonition satisfied Rule 402 and due process.

The defendant's argument is not persuasive. The court admonished the defendant on the nature of the charges, the constitutional rights waived by a plea of guilty, and the sentences that could be imposed. The prosecution stated that it intended to seek the death penalty, and the court determined that no threats or promises were made to Walker in exchange for his plea. In addition, the court asked Walker if he was "under any addiction at this time for drugs or alcohol." The defendant replied, "No." Moreover, Walker had not yet presented any claim that he was dependent on alcohol, or that his dependence might be claimed as a basis for a plea of guilty but mentally ill. The court had not been given any reason to believe that a plea of guilty but mentally ill could be suggested and had no duty to admonish the defendant that there was that plea.

In *People v. Stewart* (1984), 101 Ill. 2d 470, the defendant argued that the trial judge improperly denied his request that a mental examination be ordered and the

results of it included in the presentence report. We said that, among other reasons, a mental examination might be relevant in a death penalty hearing to determine whether there existed a statutory mitigating factor of extreme mental disturbance. However, this court held that there must be some indication that the defendant's mental condition was at issue before it can be said the court abused discretion in refusing to order an examination. (101 Ill. 2d 470, 490.) What we held is applicable to this defendant's argument. It certainly would be inconsistent here to hold that the court had a duty to admonish Walker that there was a plea of guilty based on mental illness when he not only did not offer any evidence that such a plea might be warranted, but specifically denied to the court that he suffered from a dependence on alcohol, the ground upon which he now submits his plea of guilty but mentally ill would rest.

In a related argument Walker says that the trial court erred in denying his motion to withdraw his guilty plea and substitute for it a plea of guilty but mentally ill. Walker contends that the court erroneously treated his motion as if it were a motion to withdraw a guilty plea and proceed to trial on the merits. Walker states that the procedure to be followed when a defendant wishes to substitute a plea of guilty but mentally ill for a guilty plea is found in section 115—2(b) of the Code of Criminal Procedure of 1963 (Ill. Rev. Stat. 1983, ch. 38, par. 115—2(b)), which section guides a trial court in determining whether initially to accept a plea of guilty but mentally ill. Section 115—2(b) provides:

> "(b) *Before or during trial* a plea of guilty but mentally ill may be accepted by the court when:
>
> (1) the defendant has undergone an examination by a clinical psychologist or psychiatrist and has waived his right to trial; and
>
> (2) the judge has examined the psychiatric or psychological report or reports; and
>
> (3) the judge has held a hearing, at which either party may present evidence, on the issue of the defendant's mental health and, at the conclusion of such hearing, is satisfied that there is a factual basis that the defendant was mentally ill at the time of the offense to which the plea is entered." (Emphasis added.) Ill. Rev. Stat. 1983, ch. 38, par. 115—2(b).

Walker's motion to withdraw the guilty plea was brought after judgment had been entered. The provisions

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of section 115—2(b) are applicable before or during trial, and the trial court did not err in denying the motion.

On a post-trial motion, on the other hand, a trial court has the discretion to decide whether to allow the defendant to withdraw, or change, the plea that was entered. 87 Ill. 2d R. 604(d); *People v. Hale* (1980), 82 Ill. 2d 172.

We cannot say, however, that the court abused discretion in the ruling on the post-trial motion. Extensive testimony was presented on the defendant's drinking habits and the effect of alcohol on him. A clinical psychologist, Dr. Daniel Cuneo, who examined Walker at the request of defense counsel, testified during the mitigation phase that Walker was an alcoholic whose dependence on alcohol was sufficient to have a serious, adverse effect on his behavior and personality. The witness testified that Walker drank just before committing every one of his criminal acts and said that the prior convictions were attributable in large part to his alcohol abuse. He stated that it was his opinion that the alcohol consumed by Walker on the day of the murders affected his judgment and behavior to the extent that, though not insane, Walker was at the time suffering from a severe emotional disturbance. Dr. Cuneo's report was made part of the record as an appendix to Walker's post-trial motion to withdraw the guilty plea.

The court also heard evidence of the factual basis which Walker says supports his argument that his alcoholism constituted a mental illness at the time of the murders. The testimony of Douglas Phillips and Richard Jones tended to show that Walker had been drinking throughout June 18, the day of the murders, but they said he did not appear to be intoxicated. Walker's girlfriend, Ramona Daugherty, and his half-sister, Lila Docherty, testified that although Walker was normally a gentle person, he became combative and violent when drinking. This testimony was corroborated by the testimony of Debra DeWitt, a Fayetteville police officer, who said Walker was always drinking when she saw him and that, when drinking, he displayed violent behavior. In sum, Walker was substantially given the same opportunity to present evidence, in support of his motion, that he was mentally ill at the time of the offenses as he would have been allowed under section 115—2(b). The court rejected the defendant's contention of a mental illness when it denied his motion to withdraw the plea and, considering the whole record, we cannot say the ruling was an abuse of discretion.

Relating to the foregoing contentions, the defendant complains that he was denied the effective assistance of counsel because his attorney did not advise him there is a plea of guilty but mentally ill and, secondly, because his counsel did not raise the issue of an erroneous admonition in the post-trial motion to withdraw the plea of guilty. Constitutionally assured assistance of counsel has not been provided if a defendant can show that the performance of counsel did not meet an objective standard of reasonable competence and that the deficiencies were sufficiently serious to establish a reasonable probability that, but for counsel's professional inadequacy, the result of the proceeding would have been different. (*Strickland v. Washington* (1984), 466 U.S. 668, 80 L. Ed. 2d 674, 104 S. Ct. 2052; *People v. Albanese* (1984), 104 Ill. 2d 504, 525.) The Supreme Court, however, has stated that this standard will not be mechanically applied: "[A] court need not determine whether counsel's performance was deficient before examining the prejudice suffered by the defendant as a result of the alleged deficiencies. *** If it is easier to dispose of an ineffectiveness claim on the ground of lack of sufficient prejudice, which we expect will often be so, that course should be followed." (*Strickland v. Washington* (1984), 466 U.S. 668, 697, 80 L. Ed. 2d 674, 699, 104 S. Ct. 2052, 2069-70; *People v. Del Vecchio* (1985), 105 Ill. 2d 414, 426-27.) We have concluded that the allegations which Walker submits as the foundation for his claim of ineffective assistance of counsel did not operate to his prejudice. It cannot be said that, had counsel advised the defendant that there is a guilty but mentally ill plea or had raised the issue of an inaccurate admonition in a post-trial motion, the result at trial would have been different.

Walker complains of unfairness in his sentencing hearing because of evidence which the defendant says the prosecution introduced only for the purpose of inflaming the jury, thereby preventing a reasoned determination of a proper sentence. The defendant, however, failed to object at the sentencing hearing to the evidence he now complains of and did not include it as an issue in his post-trial motion. Claimed errors not properly preserved are, of course, considered waived. (87 Ill. 2d R. 604(d).) It is true that the plain-error rule will be applied where the evidence is closely balanced or the error alleged is of such gravity as to deny a fair trial (*People v. Gacy* (1984) 103 Ill. 2d 1, 28; *People v. Szabo* (1983), 94 Ill. 2d 327, 354-55),

but neither requirement is present here.

Walker's argument is that the prosecution improperly introduced evidence of the armed robbery at the Runway Lounge during the eligibility-for-the-death-penalty phase of his sentencing hearing. He also says that the testimony of Sheriff Justus during that phase that Walker did not express any remorse for the murders during the return trip from Colorado was improper in that this evidence, and that concerning the armed robbery, were not probative of any element needed to establish that Walker was eligible for the death penalty. In the qualification or eligibility phase the jury, under the statute, is presented with the narrow questions of whether the defendant is 18 years of age or older at the time of the murder and whether a statutory aggravating factor exists. (*People v. Mack* (1984), 105 Ill. 2d 103, 125.) Walker was 43 years old on the day of the murders, and the record reveals that two aggravating factors, the murder of more than one person and murder committed during the course of a forcible felony, were established by overwhelming evidence, including the defendant's confession. We do not consider that the references made constitute sufficiently serious ground to ignore the waiver of objection and apply the plain-error rule. 105 Ill. 2d 103, 125.

Walker's other argument on this point is that the prosecution during argument said "[defense counsel] keeps telling you about [life imprisonment]. *** [R]ead your jury instructions. Nowhere in that jury instruction does it read life imprisonment." During the mitigation phase of the hearing the defendant, in response to a question of the prosecutor, had said that he believed that the minimum sentence for murder was 20 years. The defendant contends from this that the prosecutor was arguing that the defendant could receive a sentence of only 20 years, whereas the defendant, as discussed earlier, argues that under *Taylor* the imposition of a life sentence was required. Here, too, there is not sufficient, if any, ground shown to ignore the failure of the defendant to object and to apply the plain-error rule.

Finally, Walker argues the prosecutor presented evidence of personal traits of the victims to incite the jurors and prejudice their deliberations. Personal traits of victims are not relevant to the question of guilt or innocence or to the question of the proper sentence to be imposed. (*People v. Bernette* (1964), 30 Ill. 2d 359.) Denise Winker,

Sharon's sister, testified that Sharon had majored in child education and had taught at a day-care center. The witness said that Sharon was engaged to Kevin Paule. Hugo Paule, Kevin's grandfather, testified that Kevin had been in the armed forces and had never been in trouble with the police. A more liberal position on evidence, which gives the State and defendant more latitude in presenting relevant information, governs the second phase of a sentencing hearing. (*People v. Free* (1983), 94 Ill. 2d 378, 422.) In particular, we have held that introduction in the second phase of a sentencing hearing of evidence of a victim's personal characteristics does not constitute grounds for invoking the plain-error rule when the improper evidence is not objected to or raised in a post-trial motion. *People v. Mack* (1985), 105 Ill. 2d 103, 126; *People v. Free* (1983), 94 Ill. 2d 378, 422.

Walker says the imposition of the death penalty is inappropriate and excessive in light of the evidence offered in mitigation. In considering petitions to vacate a sentence of death, this court must be mindful of the limitations of its authority: "Our responsibilities *** neither require nor permit reversal where no reasonable doubt of guilt exists, no reversible error has occurred, and there is no indication that the jury imposed the penalty on other than a reasoned basis." (*People v. Lewis* (1981), 88 Ill. 2d 129; 165; *People v. Caballero* (1984), 102 Ill. 2d 23, 46.) The evidence was that the defendant, with careful deliberation, shot the victims during an armed robbery and while their hands were bound and they were helpless. Later that night, Walker shot at Richard Jones, who was trying to prevent Walker's escape after the defendant had robbed Jones in his tavern. These were not the defendant's first crimes. He had two prior convictions for burglary, one for attempted murder, and one for armed robbery.

Walker cites the evidence of alcohol dependence as a mitigating factor showing severe mental disturbance. That Walker was under a mental disturbance at the time of the murders, however, was contradicted by the testimony of Douglas Phillips and Richard Jones, both of whom saw the defendant at various times that day. Their testimony was that Walker appeared to be in full control of his faculties. Under similar circumstances this court declined to vacate the death penalties imposed in *People v. Free* (1983), 94 Ill. 2d 378, and *People v. Madej* (1985), 106

Ill. 2d 201, where there was evidence that the defendants had taken significant amounts of drugs and alcohol before the commission of crimes. Considering the vicious nature of the murders and the defendant's willingness to kill Jones, there are not grounds to disturb the verdict of the jury. It cannot be said that the sentence was imposed on other than a reasoned basis.

The defendant challenges the constitutionality of the death penalty statute, but on grounds which have already been considered and rejected by this court. In *People v. Stewart* (1984), 104 Ill. 2d 463, 493-94, we upheld, under the eighth and fourteenth amendments, a jury instruction which directed the jury not to be governed by sympathy in its deliberations. (Illinois Pattern Jury Instructions (IPI), Criminal, No. 1.01(5) (2d ed. 1981).) The contention the defendant makes here is that the instruction prevented the jury from considering evidence of his alcohol dependency as a factor in mitigation. That, however, is unfounded because the jury was also instructed to consider "any other facts or circumstances that provide reasons for imposing less than the most severe sentence." (IPI Criminal 2d No. 7A.15.) The jury was thus directed to consider the evidence of Walker's dependency on alcohol, as well as any other evidence offered in mitigation, and nothing in this record persuades us to substitute our judgment for that of the jury. (*People v. Caballero* (1984), 102 Ill. 2d 23, 46.) Too, Walker, as well as defense counsel, made two separate appeals in argument to the jury's sense of mercy, which rebuts the defendant's claim that he was denied opportunity to have the jury persuaded against imposing the death penalty. *People v. Stewart* (1984), 104 Ill. 2d 463, 494.

Similarly, Walker's argument that the death penalty statute is unconstitutional because it requires an emotional disturbance to be "extreme" before it may be considered as a factor in mitigation was rejected in *People v. Madej* (1985), 106 Ill. 2d 201, 222. The jury, as we have noted, was instructed to consider all evidence in mitigation. It appears that the jury simply did not accept the defendant's contention in mitigation.

The argument that our statute vests unguided discretionary authority in the prosecutor was dismissed in *People v. Stewart* (1984), 105 Ill. 2d 22, 75, *People v. Silagy* (1984), 101 Ill. 2d 147, 161, *People v. Davis* (1983), 95 Ill. 2d 1, 28, *People v. Szabo* (1983), 94 Ill. 2d 327, 351, and

People ex rel. Carey v. Cousins (1979), 77 Ill. 2d 531. The defendant's claim that the statute is invalid under the eighth and fourteenth amendments because it fails to establish an adequate procedure to allow a comparative review of those cases in which the death penalty is imposed is untenable. The contention was rejected in *Pulley v. Harris* (1984), 465 U.S. 37, 79 L. Ed. 2d 29, 104 S. Ct. 871, and in *People v. Stewart* (1984), 104 Ill. 2d 463, 499, *People v. Silagy* (1984), 101 Ill. 2d 147, 161, *People v. Williams* (1983), 97 Ill. 2d 252, 266, and *People v. Kubat* (1983), 94 Ill. 2d 437, 502-04.

Walker also argues that the statute is unconstitutional because the sentencing jury was not required to make a specific finding that death was the appropriate sentence, but had only to determine if the factors in mitigation were sufficient to preclude the imposition of that sentence. We have held, however, that in the statutory scheme there is the requirement that a jury carefully weigh all the factors "in order to reach a fair and just result, one that is based on the particular circumstances of the offense and the defendant." *People v. Del Vecchio* (1985), 105 Ill. 2d 414, 446, quoting *People v. Brownell* (1980), 79 Ill. 2d 508, 538.

Finally, this court has held, contrary to the defendant's complaint, that the death penalty statute clearly does not make English-speaking defendants subject to the death penalty while exempting defendants unable to speak English who, under section 104—22 of the Code of Criminal Procedure of 1963 (Ill. Rev. Stat. 1983, ch. 38, par. 104—22), require assistance to understand the trial proceeding. *People v. Stewart* (1984), 104 Ill. 2d 463, 499-502.

For the reasons given, the judgment of the circuit court of St. Clair County is affirmed. The clerk is ordered to enter an order setting Wednesday, the 28th day of May, 1986, as the date on which the sentence of death, entered by the circuit court of St. Clair County, shall be carried out. The defendant shall be executed by lethal injection in the manner provided by section 119—5 of the Code of Criminal Procedure of 1963 (Ill. Rev. Stat. 1983, ch. 38, par. 119—5). A certified copy of the mandate in this case shall be furnished by the clerk of this court to the Director of Corrections, to the warden at Stateville Correctional Center, and to the warden of the institution wherein the defendant is confined.

Judgment affirmed.

JUSTICE SIMON, dissenting:

I dissent from the court's decision to uphold the death

sentence imposed in this case because the sentencing process was flawed by evidence elicited by the State which improperly appealed to the emotions of the jurors.

Firmly entrenched in the jurisprudence of this court is the proposition that a criminal defendant's guilt and the punishment to be exacted must be determined by a jury "uninfluenced by bias or prejudice." (*People v. Bernette* (1964), 30 Ill. 2d 359, 371; *People v. Holman* (1984), 103 Ill. 2d 133; *People v. Ramirez* (1983), 98 Ill. 2d 439; *People v. Gill* (1973), 54 Ill. 2d 357; *Filippo v. People* (1906), 224 Ill. 212.) Applying this rule, the court has repeatedly reversed convictions or vacated sentences where the prosecution, either by adducing improper evidence or by making emotional appeals in argument, has drawn the jury's attention to the personal characteristics and relationships of the victim. (*People v. Holman* (1984), 103 Ill. 2d 133; *People v. Davis* (1983), 97 Ill. 2d 1; *People v. Ramirez* (1983), 98 Ill. 2d 439; *People v. Bernette* (1964), 30 Ill. 2d 359; *People v. Gregory* (1961), 22 Ill. 2d 601; *People v. Dukes* (1957), 12 Ill. 2d 334; *Filippo v. People* (1906), 224 Ill. 212.) The court's action in these cases was grounded in the recognition that " 'such evidence has no relationship to the guilt or innocence of the accused or the punishment to be inflicted upon him' ***" (*People v. Holman* (1984), 103 Ill. 2d 133, 166, quoting *People v. Bernette* (1964), 30 Ill. 2d 359, 371), but serves only to "arouse in [the jurors] anger, hate and passion" against him (*People v. Dukes* (1957), 12 Ill. 2d 334, 340).

Of course, not every mention of the personal characteristics of the victim triggers a response by this court; where such testimony is "elicited incidentally" no prejudice arises. (*People v. Ramirez* (1983), 98 Ill. 2d 439, 453.) However, when testimony on the personal situation of the victim is "presented in such a way as to give the jury to understand that it was *** material," such evidence is highly prejudicial. (*Filippo v. People* (1906), 224 Ill. 212, 217; *People v. Dukes* (1957), 12 Ill. 2d 334, 340.) This court has frequently inferred from the nature of the evidence that the prosecution *intended* only to inflame the jury. See, *e.g.*, *People v. Ramirez* (1983), 98 Ill. 2d 439; *Filippo v. People* (1906), 224 Ill. 212.

Here the State called two witnesses during the second stage of the sentencing hearing. The first, the sister of the victim Winker, testified to the victim's age, education, the fact that Winker was engaged to the victim Paule, the wedding date, Winker's lack of a criminal record, and her inter-

est in reading and Sunday school. The second witness was the grandfather of the other victim. He testified to Paule's age, employment, education, the fact that he was to be married to Winker, and the fact that the victim had never been in trouble.

The majority apparently believes that the "more liberal position" on evidence at the sentencing hearing justifies the introduction of this evidence. (Slip op. at 13.) I do not agree. No matter how broad the relevance standard applied, the testimony here cannot pass muster. The background of the victims and their relationship was singularly irrelevant to any issue at the sentencing hearing; the only issue at the second stage of the hearing is the existence of factors in mitigation and aggravation. The fact that the victims were youthful, law-abiding members of the community and were to be married could have no relevance to that issue unless the court is willing to take the position that murder is more heinous when perpetrated against an upstanding member of the community, and thus the character of the victim is a factor in aggravation. Such a principle, however, would offend our most basic notions of equality and justice.

The obvious purpose for which the prosecution called these witnesses was to play upon the jurors' emotions in viewing the tragic deaths of the young victims. There can be no claim here that the testimony was "incidental," inasmuch as the victims' family members were the only witnesses called at the second stage and their testimony related to nothing but the victims' characters. The jury could not have helped but believe that the victims' characters were material to deciding whether the death penalty should be imposed.

Despite the highly prejudicial nature of the evidence, the majority refuses to consider the error because it was not objected to at trial. As the majority sees it, this testimony did not constitute plain error "affecting substantial rights." (See 87 Ill. 2d R. 615(a).) In my view, there can be few, if any, rights more substantial than the right of one in jeopardy of his life to a sentencing jury uninfluenced by partiality and prejudice. Moreover, the majority's citation of two cases refusing to employ the plain-error rule in this context, while accurate, is also selective. This court has also twice held recently that the propriety of evidence or argument at the sentencing hearing relating to the victim's family can be considered under the plain-error rule. (*People v. Holman* (1984), 103 Ill. 2d 133, 176-77; *People v. Davis* (1983), 97 Ill. 2d 1, 26-27; see also *People v. Bernette* (1964), 30 Ill. 2d 359,

372; *People v. Szabo* (1983), 94 Ill. 2d 327, 354-55, 363-65.) In *Holman*, the court explained that this type of error should be considered notwithstanding the defendant's failure to object "because of the qualitative difference between death and other forms of punishment and the ' "high standard of procedural accuracy [that] is required in determining whether or not [the death] penalty will be imposed." ' " (*People v. Holman* (1984), 103 Ill. 2d 133, 177, quoting *People v. Davis* (1983), 97 Ill. 2d 1, 26-27, and *People v. Walker* (1982), 91 Ill. 2d 502, 517.) Similarly, in *People v. Davis* (1983), 97 Ill. 2d 1, 27, the court considered the argument despite the fact that no objection was made because the possibility that the jury might have been influenced at the first stage of the sentencing hearing by prejudicial evidence "should not be tolerated." And in the watershed case of *Bernette*, this court overturned a conviction and death sentence, even without objection to the evidence, on the ground that "the irrelevancy and highly prejudicial nature of such evidence is so well established, that it was the duty of the court in a murder case to have refused it on its own motion." 30 Ill. 2d 359, 372.

I would note that, apart from the consequence that prejudicial error is overlooked, the uneven application of the plain-error rule in circumstances virtually indistinguishable gives our decisions an unpredictable quality which should not be tolerated in a system of equal justice, especially in view of the fact that we are considering the imposition of a death sentence. In addition, it encourages prosecutors to attempt to get by with what they can when they think defense counsel may overlook it. It appears to me that we have been giving inconsistent signals to prosecutors on the propriety of trial tactics such as those used here and that this would be a good case to try to clarify the standards to be used.

Finally, for the reasons set forth in my separate opinions in *People v. Lewis* (1981), 88 Ill. 2d 129, 179 (Simon, J., dissenting), in *People v. Silagy* (1984), 101 Ill. 2d 147, 184 (Simon, J., concurring in part and dissenting in part), and in *People v. Albanese* (1984), 104 Ill. 2d 504, 549 (Simon, J., concurring in part and dissenting in part), I believe that the Illinois death penalty statute is unconstitutional and that therefore no sentence imposed under that statute can stand. For these reasons, I think the sentence of death imposed on defendant should be vacated.

APPENDIX B

59212

ILLINOIS SUPREME COURT
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SUPREME COURT UNIT

February 4, 1986

Mr. Charles M. Schiedel
State Appellate Defender
Supreme Court Unit
300 E. Monroe St., S#202
Springfield, IL 62701

No. 59212 - People State of Illinois, appellee, v. Charles Walker, appellant. Appeal, Circuit Court (St. Clair).

The Supreme Court today DENIED the petition for rehearing in the above entitled cause.

The mandate of this Court will issue to the appropriate Appellate Court and/or Circuit Court or other agency on February 14, 1986.

Enclosed are corrected pages of Justice Simon's dissent. Please note that Justice Simon concurs in part and dissents in part.

APPENDIX C

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STATE OF ILLINOIS
SUPREME COURT CLERK
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SPRINGFIELD 62706

RECEIVED
JUN 25
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ELGIN, ILLINOIS

HORNYAK
THE COURT
32-2035

June 21, 1984

Hon. Robert Morrow
State's Attorney
Kane County Courthouse
Geneva, IL 60134

THE COURT HAS THIS DAY ENTERED THE FOLLOWING ORDER IN THE CASE OF:

No. 59352 - People ex rel., Robert Morrow, State's Attorney of Kane County, petitioner, vs. Hon. John L. Nickels, Circuit Judge of the 16th Judicial Circuit and Jack S. Tansil, respondents.

Enclosed is a copy of an order entered this date by the Illinois Supreme Court.

Certified copies of this order have been forwarded to Clerk of the Appellate Court, Second District, Clerk of the Circuit Court, Kane County and Hon. John L. Nickels.

JH:th
cc: SAASC - Elgin, IL (Cynthia N. Schneider)
SAD - Elgin, IL (Kyle Wesendorf)
Hon. John L. Nickels
George W. Chabalewski
Jack S. Tansil

LE COPY

No. 59352

IN THE

SUPREME COURT OF ILLINOIS

People ex rel., Robert Morrow, State's Attorney of Kane County, petitioner,	)	
vs.	)	Mandamus
Hon. John L. Nickels, Circuit Judge of the 16th Judicial Circuit and Jack S. Tansil, respondents	)	

ORDER

The motion by petitioner for leave to file a petition for an original writ of mandamus is denied. In the exercise of this Court's supervisory jurisdiction, the Appellate Court, Second District, is ordered to dismiss the pending appeal in People vs. Tansil, No. 83-804, and to remand that cause to the Circuit Court of Kane County. Upon remand, the Hon. John L. Nickels, Circuit Judge of the Sixteenth Judicial Circuit, is ordered to vacate his order of September 1, 1983, entered in People vs. Tansil, No. 83-CF-703, in the Circuit Court of Kane County, sentencing the defendant, Jack S. Tansil, to a 30-year term of imprisonment on each of two convictions for murder, to be served concurrently. Judge Nickels is further ordered to sentence the defendant to a term of natural life imprisonment pursuant to section 5-8-1(a)(1)(c) of the Unified Code of Corrections (Ill. Rev. Stat. 1981, ch. 38, par. 1005-8-1(a)(1)(c)). (People v. Taylor (May 25, 1984), No. 58731.) Following the entry of that sentencing order, the defendant may, if he chooses, file a notice of appeal pursuant to Rule 606.

FILED

JUN 21 1984

SUPREME COURT CLERK

NO. _____

IN THE

SUPREME COURT OF THE UNITED STATES

October Term, 1986

CHARLES WALKER, Petitioner

-vs-

PEOPLE OF THE STATE OF ILLINOIS,
Respondent

NOTICE AND PROOF OF SERVICE

TO: Honorable Joseph F. Spaniol, Jr.
Clerk of the Supreme Court
of the United States
1 First Street N.E.
Washington, D.C. 20543

Neil F. Hartigan
Attorney General
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Mr. John Baricevic
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Mr. Charles Walker
Register No. A-76374
Box 711
Menard, IL 62259

Please take notice that I have mailed the original and 40 copies of the Petition for Writ of Certiorari to the Clerk of the above Court and that I am serving the

Attorney General and State's Attorney each with three copies and I am serving the Petitioner with one copy by depositing the copies in the mail in Springfield, Illinois, with sufficient prepaid postage and addressed as indicated above on this 14th day of August, 1986.

GARY S. RAPAPORT
Assistant Defender

Subscribed and sworn to before me on this 14th day of August, 1986.

NOTARY PUBLIC

86-277

Supreme Court, U.S.
FILED
APR 4 1986
JOSEPH F. SPANIOL, JR.
CLERK

NO. _____

IN THE

SUPREME COURT OF THE UNITED STATES

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CHARLES WALKER, Petitioner

-vs-

PEOPLE OF THE STATE OF ILLINOIS,
Respondent

SUPPLEMENTAL APPENDICES TO

PETITION FOR WRIT OF CERTIORARI

TO THE SUPREME COURT OF ILLINOIS

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6/87

APPENDIX A

Docket No. 59212-Agendal-March 1985.

The PEOPLE OF THE STATE OF ILLINOIS, Appellee, v. CHARLES WALKER, Appellant.

JUSTICE WARD delivered the opinion of the court:

The defendant, Charles Walker, pleaded guilty in the circuit court of St. Clair County to two counts of murder (Ill. Rev. Stat. 1983, ch. 38, par. 9-1) and to one count of armed robbery (Ill. Rev. Stat. 1983, ch. 38, par. 18-2). The court entered judgments on the pleas, and a jury was impaneled to decide whether the death sentence should be imposed. The jury found statutory aggravating factors to exist (Ill. Rev. Stat. 1983, ch. 38, pars. 9-1(3), (6)) and determined there were no factors in mitigation sufficient to preclude imposition of the death penalty. The court sentenced the defendant to death on the murder counts and imposed a 30-year

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term of imprisonment on the armed-robbery count. The death sentence was stayed under our Rule 609 (87 Ill.2d R. 609(a)) pending direct appeal to this court (Ill. Const. 1970, art. VI, sec. 4(b); 87 Ill.2d R. 603).

On the morning of June 19, 1983, the bodies of Sharon Winker and Kevin Paule were found on the west bank of Silver Creek one-half mile west of Mascoutah. St. Clair County deputy sheriffs found Winker, slumped forward and with her hands bound behind her with tape, near a path leading into a wooded area. She had been shot once behind the left ear. Paule was found approximately 20 feet from Winker. His hands were taped together and his arms were taped behind him and secured around a tree. He had been shot in the right temple. The deputy sheriffs found a .25-caliber shell casing four feet from

Paule's body. They also found two lawn chairs on the bank of the creek.

An autopsy revealed that Winker had been shot in the left occipital portion of the skull and that the bullet lodged in the left frontal lobe of her brain. This caused a laceration and swelling of the brain, resulting in death. Paule died from a single shot which left a bullet lodged in the left parietal lobe of the brain, similarly causing a fatal laceration and swelling. The pathologist recovered spent .25-caliber pellets from the skull of each victim.

On July 15, 1983, Walker was apprehended by authorities in Walden, Colorado. They had been notified by the St. Clair County sheriff's department that he was a suspect in two murders and might be in Colorado. He was returned to St. Clair County, where he was arraigned on July 27. Walker waived preliminary hearing and

stated that he wished to plead guilty to two counts of murder and one count of armed robbery. The court read the charges to him, explained the rights that would be waived by a guilty plea, advised him of the range of possible sentences that he might receive on each charge, told him of the prosecution's intention to seek the death penalty, and ascertained that no threats or promises had been made in exchange for his pleas. The court accepted the defendant's plea of guilty on each count; the defendant then requested that a jury be impaneled to determine whether the death penalty should be imposed.

In the first phase of the sentencing hearing, at which the jury was to determine whether Walker was eligible for the death penalty, St. Clair County Sheriff Mearl Justus and Lieutenant James Lay testified that they accompanied the defendant from Colorado to the St. Clair

County jail. Walker did not talk to either of them regarding the charges while they were en route. At the jail, Walker waived his rights under Miranda v. Arizona (1966), 384 U.S. 436, 16 L.Ed.2d 694, 86 S.Ct. 1602, and confessed to the murders and to an armed robbery that was committed the same night at a nearby tavern. Lieutenant Lay transcribed the confession, and this statement, signed by the defendant, was read into evidence during the first phase of the sentencing hearing.

The defendant told the officers that he left Fayetteville on the morning of June 18, 1983, to fish at the reservoir near Mascoutah. Around noon, he drove his red Pontiac convertible to Mascoutah in order to buy a 12 pack of beer. He returned to the reservoir and after fishing there for half an hour, left to fish the west bank of Silver Creek. Walker said that an hour later Kevin Paule

and Sharon Winker, who he did not recognize, came and set up two lawn chairs and fishing equipment along the bank of the creek. Walker conversed with the couple for a short while and then, he said, decided to rob them. He said that he pointed a .25-caliber gun at them and took Paule's wallet, which contained $40. Walker then directed Paule and Winker along a path 30 to 40 feet into a wooded area where he bound their hands behind them and secured them around trees with tape from his car. Walker stated that he was in the process of taping Winker when Paule said that he recalled the defendant and knew his name to be Walker. Walker said he made the decision to kill the couple so that he would not later be identified. He shot Paule and then Winker.

Walker told the officers that he put the couple's fishing equipment in his car,

and then drove Winker's car to Mascoutah to buy more beer. He returned to the reservoir, abandoned Winker's car, and walked to his own auto. Walker drove to the home of his girlfriend, Ramona Daugherty, in Fayetteville and, informed by his niece that Ramona was not at home, he went to a tavern in Fayetteville. He was there 30 minutes later when Officer Debra DeWitt of the Fayetteville police department entered and asked him to accompany her because Walker's niece had reported that Walker had been brandishing a gun when he was at Ramona's house. Walker said in his statement that, once outside the tavern, he was able to get to his car and drive away as DeWitt went to the police car for her radio.

Walker also gave Justus and Lay a statement confessing to the armed robbery at the Runway Lounge near Scott Air Force Base in Mascoutah. He said that later on

the night of June 18, he drove to the Runway Lounge and began drinking beer while playing the "game machines." Walker told the officers that after he spent all of his money, he decided to rob the owner, Richard Jones, and the barmaid, Emily Gage, who were the only persons in the tavern. Walker said that he told Jones at gunpoint to give him the money from the cash register and then ordered Jones and Gage to lie on the floor. A car drove into the parking lot as he left the tavern, and Walker said that he heard Jones shouting that he had been robbed. Walker walked to his car but, as he tried to drive from the parking lot, his car was rammed by another car. He shot at the driver of the car, but the driver backed up and then rammed Walker's car again. When the defendant's car stalled, he alighted from it, dropped his gun on the ground near the door of his car and then

ran across a field abutting Scott Air Force Base.

In the first phase of the sentencing hearing, Douglas Phillips testified that he was fishing at Silver Creek with his two sons about 2 p.m. on June 18, when he saw the defendant walking along the bank of the creek. The defendant stopped and spoke briefly with Phillips and his sons. Walker was holding a can of beer, but he did not appear to be intoxicated. Phillips testified that at 7:30 that evening he was again at the creek to fish with his sons. He heard a gunshot but said that he did not consider this unusual because the area was popular for target practice and squirrel hunting. Thirty minutes later, the witness saw the defendant walking toward his sons and him. Walker stopped to talk with them, and Phillips testified that the defendant showed no signs of intoxication at this

time. Phillips saw the defendant place some fishing equipment in a red Pontiac.

Richard Jones also testified at the first phase of the sentencing hearing. He said that he owned the Runway Lounge near Mascoutah and had known the defendant as a patron of the tavern. Around 10 p.m. on June 18, Walker entered and sat at the bar. Jones stated that Walker drank four or five beers but did not appear to be intoxicated. At 1 a.m., after all other patrons had left, Walker announced to Jones and the barmaid, Emily Gage, that he intended to rob them. The witness, who was familiar with weapons, saw a "nickel plated .25 caliber automatic" gun in Walker's right hand. Walker told him to open the cash register and, after taking the money in the drawer and some rolls of quarters which were kept under the bar, the defendant ordered Jones and the barmaid to lie face down on the floor.

The witness recounted that he warned Walker that he "can't get away with this" because Jones knew his identity, but Walker replied, "Dick, it don't make any difference ***. The police are already looking for me."

As Walker left the tavern, Jones saw the headlights of an oncoming automobile through the tavern windows. He later saw that it was his car being driven by his son. He ran outside and shouted to his son that he had been robbed by the defendant, who was walking towards his Pontiac. Jones told his son to go in the tavern and stay there and, as Walker attempted to leave the parking area, Jones rammed his car into the passenger side of Walker's Pontiac. Jones said that he rammed the defendant's car a second time, striking the driver's door. He saw Walker raise his arm and, as the witness dropped down in the front seat of his car, Jones heard

a gunshot. The bullet struck the windshield on the passenger side. The witness testified that he got out of his car and saw the defendant running across a field.

Deputy Sheriffs called to investigate the armed robbery at the Runway Lounge found a .25-caliber gun on the ground near the passenger door of the Pontiac. The prosecution's evidence showed that the pellets recovered from the bodies of Paule and Winker, the shell casing found near Paule's body, and a shell casing found on the tavern parking lot were from the gun found at Walker's car. The deputies found in Walker's car a styrofoam cooler, portable radio, tackle box, and fishing poles. Relatives of the victims identified these items at the hearing as property of the slain couple.

The defendant's earlier convictions for attempted murder, armed robbery and

two convictions for burglary were read into the record by the prosecutor.

Dr. Daniel Cuneo, a clinical psychologist who examined Walker at the request of defense counsel, testified for the defendant in the mitigation and aggravation phase of the hearing. He said that he diagnosed Walker as an alcoholic and, although he did not consider the defendant insane, the witness was of the opinion that Walker's dependence on alcohol amounted to a severe emotional disturbance. He based his diagnosis on, among other factors, a pattern of pathological alcohol use, his inability to hold a job for a period of time, and changes in personality and intelligence. Dr. Cuneo noted that every conviction in Walker's record occurred after alcohol abuse and concluded that there was a correlation between Walker's criminal behavior and alcohol abuse. The witness observed that

Walker showed remorse after learning what he had done while intoxicated, which is consistent with the behavior of alcoholics, and which the witness maintained was manifested in Walker's plea of guilty every time he was charged with an offense. Dr. Cuneo testified that often an alcoholic will develop a tolerance to alcohol that allows him to suppress outward signs of intoxication, but the witness said that when this does occur the alcoholic's judgment would nevertheless be impaired.

The defendant's half-sister, Lila Docherty, testified in mitigation that Walker was a gentle person who had established a good rapport with her young son. Docherty said that Walker's personality changed when he drank, and she recalled an incident when Walker threatened his mother with a knife while intoxicated, and then expressed remorse for the incident when sober. Walker's girlfriend, Ramona

Daugherty, too said that Walker was a kind person when sober, but became violent when drunk. She stated that the defendant once shot his dog in a drunken rage, but regretted the shooting the next day. Fayetteville police officer Debra DeWitt testified that Walker always seemed to be drinking and that this habit led to violent behavior. She said that she had seen Walker consume large quantities of alcohol and yet not appear intoxicated.

The defendant first argues that his guilty plea was not knowing or voluntary because the court erred, the defendant says, when explaining the possible sentences on each charge. The court told the defendant that the two murder counts would be considered separately in sentencing and that the defendant could be sentenced on each count from 20 years' imprisonment to imposition of the death penalty. The court advised Walker that it had

discretion to enhance or double any term of years imposed and that one possible sentence was natural life imprisonment. The defendant says this was erroneous under this court's decision in People v. Taylor (1984), 102 Ill.2d 201, where it was held that section 5-8-1(a)(1)(c) of the Unified Code of Corrections (Ill. Rev. Stat. 1983, ch. 38, par. 1005-8-1(a)(1)(c)) mandates a sentence not less than natural life imprisonment upon conviction of murdering more than one person. The defendant argues that because he was pleading guilty to two murders, the admonishment that he might receive a term of years was misleading and therefore did not meet the requirements of Supreme Court Rule 402 (87 Ill.2d R. 402). He asserts that he was thus denied due process as guaranteed under Boykin v. Alabama (1969), 395 U.S. 238, 23 L.Ed.2d 274, 89 S.Ct. 1709 (plea of guilty must be affirmatively

shown to be voluntary and intelligent before it can be accepted)

The State points out that the defendant's failure to raise this question in either of the two motions he brought to withdraw his guilty pleas and vacate the judgments constitutes a waiver of the issue in this court. (87 Ill.2d R. 604(d).) Our rules do permit a reviewing court, in its discretion, to consider "[p]lain errors or defects affecting substantial rights" even though they were not objected to in the trial court. (87 Ill.2d R. 615(a); People v. Holman (1984), 103 Ill.2d 133, 176.) Though it is not clear there was plain error we will consider the contention.

It should be noted that it cannot be stated certainly that the admonition was erroneous. When Walker was sentenced, this court had not yet decided Taylor, and the appellate court sitting in the first

district had held that a trial court had discretion under section 5-8-1(a)(1)(c) to determine whether a term of natural life or for a term of years should be imposed following a conviction for more than one murder. (People v. Taylor (1983), 115 Ill.App.3d 621.) Our holding reversed this decision. It appears that the only other decision on the issue was in People v. Bush (1981), 103 Ill.App.3d 5, where the appellate court in the second district held that the section required the imposition of a sentence of natural life. Too, it can be noted that the trial court here admonished Walker before hearing and before considering the State's recital of the factual basis for the pleas of guilty. It was then not certain that the court would accept pleas of guilty to both charges of murder. If, for whatever reason, the court did accept the defendant's plea of guilty to only one count,

there is no doubt that the court would have had discretion to sentence him to a term of years.

Even if assuming, arguendo, that the admonishment on its face could have been misleading, it is nonetheless apparent that the court did comply with Rule 402 and that the defendant was not denied due process. Rule 402 in part provides:

> "In hearings on pleas of guilty, there must be substantial compliance with the following:
> (a) Admonitions to Defendant. The court shall not accept a plea of guilty without first, by addressing the defendant personally in open court, informing him of and determining that he understands the following:
>
> * * *
>
> (2) the minimum and maximum sentence prescribed by law, including, when applicable, the penalty to which the defendant may be subjected because of prior convictions or consecutive sentences; ***." 87 Ill.2d R. 402.

Rule 402 was adopted to insure compliance with the requirement of Boykin

that a guilty plea must be affirmatively shown to have been made intelligently and voluntarily before a court may accept it. (87 Ill.2d R. 402, Committee Comments.) This court has held that the requirements of Rule 402 need only be substantially, as the rule states, complied with to satisfy the requirements for due process. (People v. Stewart (1984), 101 Ill.2d 470, 484, quoting People v. Krantz (1974), 58 Ill.2d 187, 192.) The entire record will be considered to determine whether the defendant understood the nature of the charge or charges to which he was pleading. (People v. Stewart (1984), 101 Ill.2d 470, 484.) The record here rejects Walker's contention that he was misled by the court's admonition. He had no expectations that were denied. He was aware of the serious nature of the charges and was informed both by the court and by the prosecutor that, once his pleas of guilty

to murder were accepted, the prosecution intended to ask for the death penalty. The defendant and his attorney stated on separate occasions that the defendant expected nothing less than natural life imprisonment as a punishment. During the hearing in mitigation, there was this colloquy between Walker and his attorney:

> "Counsel: Do you want those people to spare you your life?
> Walker: Yes, I do.
> Counsel: If they do, would you represent to them that you won't ask of this court anything more than to be penned (sic) in the penitentiary for your life?
> Walker: Yes, sir.
> Counsel: Not a shorter term of sentence?
> Walker: Yes, sir.
> Counsel: You don't expect any more than to be deprived of your liberty for the rest of your life, do you?
> Walker: No, sir."

In closing argument, defense counsel stated that he was "arguing, pleading for a man to be thrown in four dark walls and bars and deprived of his liberty for the rest of his life." Later in argument he

asked that Walker be allowed "to spend the rest of his life behind four walls with hostile guards." Walker's contention that he pleaded guilty because of a belief from the court's admonition that he could be sentenced to a term of years is untenable on this record.

Walker argues also that his guilty plea was not knowing or voluntary because the trial court failed to admonish him that a plea of guilty but mentally ill could be offered. He contends that his dependence on alcohol was a sufficient ground for that plea. The State's answer is that the trial court had no duty to advise the defendant of all possible pleas and that the given admonition satisfied Rule 402 and due process.

The defendant's argument is not persuasive. The court admonished the defendant on the nature of the charges, the constitutional rights waived by a plea

of guilty, and the sentences that could be imposed. The prosecution stated that it intended to seek the death penalty, and the court determined that no threats or promises were made to Walker in exchange for his plea. In addition, the court asked Walker if he was "under any addiction at this time for drugs or alcohol." The defendant replied, "No." Moreover, Walker had not yet presented any claim that he was dependent on alcohol, or that his dependence might be claimed as a basis for a plea of guilty but mentally ill. The court had not been given any reason to believe that a plea of guilty but mentally ill could be suggested and had no duty to admonish the defendant that there was that plea.

In People v. Stewart (1984), 101 Ill.2d 470, the defendant argued that the trial judge improperly denied his request that a mental examination be ordered and

the results of it included in the presentence report. We said that, among other reasons, a mental examination might be relevant in a death penalty hearing to determine whether there existed a statutory mitigating factor of extreme mental disturbance. However, this court held that there must be some indication that the defendant's mental condition was at issue before it can be said the court abused discretion in refusing to order an examination. (101 Ill.2d 470, 490.) What we held is applicable to this defendant's argument. It certainly would be inconsistent here to hold that the court had a duty to admonish Walker that there was a plea of guilty based on mental illness when he not only did not offer any evidence that such a plea might be warranted, but specifically denied to the court that he suffered from a dependence on alcohol, the ground upon which he now submits his

plea of guilty but mentally ill would rest.

In a related argument Walker says that the trial court erred in denying his motion to withdraw his guilty plea and substitute for it a plea of guilty but mentally ill. Walker contends that the court erroneously treated his motion as if it were a motion to withdraw a guilty plea and proceed to trial on the merits. Walker states that the procedure to be followed when a defendant wishes to substitute a plea of guilty but mentally ill for a guilty plea is found in section 115-2(b) of the Code of Criminal Procedure of 1963 (Ill. Rev. Stat. 1983, ch. 38, par. 115-2(b)), which section guides a trial court in determining whether initially to accept a plea of guilty but mentally ill. Section 115-2(b) provides:

> "(b) Before or during trial a plea of guilty but mentally ill may be accepted by the court when:

> (1) the defendant has undergone an examination by a clinical psychologist or psychiatrist and has waived his right to trial; and
>
> (2) the judge has examined the psychiatric or psychological report or reports; and
>
> (3) the judge has held a hearing, at which either party may present evidence, on the issue of the defendant's mental health and, at the conclusion of such hearing, is satisfied that there is a factual basis that the defendant was mentally ill at the time of the offense to which the plea is entered." (Emphasis added.) Ill. Rev. Stat. 1983, ch. 38, par. 115-2(b).

Walker's motion to withdraw the guilty plea was brought after judgment had been entered. The provisions of section 115-2(b) are applicable before or during trial, and the trial court did not err in denying the motion.

On a post-trial motion, on the other hand, a trial court has the discretion to decide whether to allow the defendant to withdraw, or change, the plea that was

entered. 87 Ill.2d R. 604(d); People v. Hale (1980), 82 Ill.2d 172.

We cannot say, however, that the court abused discretion in the ruling on the post-trial motion. Extensive testimony was presented on the defendant's drinking habits and the effect of alcohol on him. A clinical psychologist, Dr. Daniel Cuneo, who examined Walker at the request of defense counsel, testified during the mitigation phase that Walker was an alcoholic whose dependence on alcohol was sufficient to have a serious, adverse effect on his behavior and personality. The witness testified that Walker drank just before committing every one of his criminal acts and said that the prior convictions were attributable in large part to his alcohol abuse. He stated that it was his opinion that the alcohol consumed by Walker on the day of the murders affected his judgment and behavior

to the extent that, though not insane, Walker was at the time suffering from a severe emotional disturbance. Dr. Cuneo's report was made part of the record as an appendix to Walker's post-trial motion to withdraw the guilty plea.

The court also heard evidence of the factual basis which Walker says supports his argument that his alcoholism constituted a mental illness at the time of the murders. The testimony of Douglas Phillips and Richard Jones tended to show that Walker had been drinking throughout June 18, the day of the murders, but they said he did not appear to be intoxicated. Walker's girlfriend, Ramona Daugherty, and his half-sister, Lila Docherty, testified that although Walker was normally a gentle person, he became combative and violent when drinking. This testimony was corroborated by the testimony of Debra DeWitt, a Fayetteville police officer, who said

Walker was always drinking when she saw him and that, when drinking, he displayed violent behavior. In sum, Walker was substantially given the same opportunity to present evidence, in support of his motion, that he was mentally ill at the time of the offenses as he would have been allowed under section 115-2(b). The court rejected the defendant's contention of a mental illness when it denied his motion to withdraw the plea and, considering the whole record, we cannot say the ruling was an abuse of discretion.

Relating to the foregoing contentions, the defendant complains that he was denied the effective assistance of counsel because his attorney did not advise him there is a plea of guilty but mentally ill and, secondly, because his counsel did not raise the issue of an erroneous admonition in the post-trial motion to withdraw the plea of guilty. Constitutionally assured

assistance of counsel has not been provided if a defendant can show that the performance of counsel did not meet an objective standard of reasonable competence and that the deficiencies were sufficiently serious to establish a reasonable probability that, but for counsel's professional inadequacy, the result of the proceeding would have been different. (Strickland v. Washington (1984), 466 U.S. 668, 80 L.Ed.2d 674, 104 S.Ct. 2052; People v. Albanese (1984), 104 Ill.2d 504, 525.) The Supreme Court, however, has stated that this standard will not be mechanically applied: "[A] court need not determine whether counsel's performance was deficient before examining the prejudice suffered by the defendant as a result of the alleged deficiencies. *** If it is easier to dispose of an ineffectiveness claim on the ground of lack of sufficient prejudice, which we expect will

often be so, that course should be followed." (Strickland v. Washington (1984), 466 U.S. 668, 697, 80 L.Ed.2d 674, 699, 104 S.Ct. 2052, 2069-70; People v. Del Vecchio (1985), 105 Ill.2d 414, 426-27.) We have concluded that the allegations which Walker submits as the foundation for his claim of ineffective assistance of counsel did not operate to his prejudice. It cannot be said that, had counsel advised the defendant that there is a guilty but mentally ill plea or had raised the issue of an inaccurate admonition in a post-trial motion, the result at trial would have been difficult.

Walker complains of unfairness in his sentencing hearing because of evidence which the defendant says the prosecution introduced only for the purpose of inflaming the jury, thereby preventing a reasoned determination of a proper sentence. The defendant, however, failed to object

at the sentencing hearing to the evidence he now complains of and did not include it as an issue in his post-trial motion. Claimed errors not properly preserved are, of course, considered waived. (87 Ill.2d R. 604(d).) It is true that the plain-error rule will be applied where the evidence is closely balanced or the error alleged is of such gravity as to deny a fair trial (People v. Gacy (1984), 103 Ill.2d 1, 28; People v. Szabo (1983), 94 Ill.2d 327, 354-55), but neither requirement is present here.

Walker's argument is that the prosecution improperly introduced evidence of the armed robbery at the Runway Lounge during the eligibility-for-the-death penalty phase of his sentencing hearing. He also says that the testimony of Sheriff Justus during that phase that Walker did not express any remorse for the murders during the return trip from Colorado was

improper in that this evidence, and that concerning the armed robbery, were not probative of any element needed to establish that Walker was eligible for the death penalty. In the qualification or eligibility phase the jury, under the statute, is presented with the narrow questions of whether the defendant is 18 years of age or older at the time of the murder and whether a statutory aggravating factor exists. (People v. Mack (1984), 105 Ill.2d 103, 125.) Walker was 43 years old on the day of the murders, and the record reveals that two aggravating factors, the murder of more than one person and murder committed during the course of a forcible felony, were established by overwhelming evidence, including the defendant's confession. We do not consider that the references made constitute sufficiently serious ground to ignore

the waiver of objection and apply the plain-error rule. 105 Ill.2d 103, 125.

Walker's other argument on this point is that the prosecution during argument said "[defense counsel] keeps telling you about [life imprisonment]. *** [R]ead your jury instructions. Nowhere in that jury instruction does it read life imprisonment." During the mitigation phase of the hearing the defendant, in response to a question of the prosecutor, had said that he believed that the minimum sentence for murder was 20 years. The defendant contends from this that the prosecutor was arguing that the defendant could receive a sentence of only 20 years, whereas the defendant, as discussed earlier, argues that under Taylor the imposition of a life sentence was required. Here, too, there is not sufficient, if any, ground shown to ignore the failure of the defendant to object and to apply the plain-error rule.

Finally, Walker argues the prosecutor presented evidence of personal traits of the victims to incite the jurors and prejudice their deliberations. Personal traits of victims are not relevant to the question of guilt or innocence or to the question of the proper sentence to be imposed. (People v. Bernette (1964), 30 Ill.2d 359.) Denise Winker, Sharon's sister, testified that Sharon had majored in child education and had taught at a day-care center. The witness said that Sharon was engaged to Kevin Paule. Hugo Paule, Kevin's grandfather, testified that Kevin had been in the armed forces and had never been in trouble with the police. A more liberal position on evidence, which gives the State and defendant more latitude in presenting relevant information, governs the second phase of a sentencing hearing. (People v. Free (1983), 94 Ill.2d 378, 422.) In particular, we have

held that introduction in the second phase of a sentencing hearing of evidence of a victim's personal characteristics does not constitute grounds for invoking the plain-error rule when the improper evidence is not objected to or raised in a post-trial motion. People v. Mack (1985), 105 Ill.2d 103, 126; People v. Free (1983), 94 Ill.2d 378, 422.

Walker says the imposition of the death penalty is inappropriate and excessive in light of the evidence offered in mitigation. In considering petitions to vacate a sentence of death, this court must be mindful of the limitations of its authority: "Our responsibilities *** neither require nor permit reversal where no reasonable doubt of guilt exists, no reversible error has occurred, and there is no indication that the jury imposed the penalty on other than a reasoned basis." (People v. Lewis (1981), 88 Ill.2d 129;

165; People v. Caballero (1984), 102 Ill.2d 23, 46.) The evidence was that the defendant, with careful deliberation, shot the victims during an armed robbery and while their hands were bound and they were helpless. Later that night, Walker shot at Richard Jones, who was trying to prevent Walker's escape after the defendant had robbed Jones in his tavern. These were not the defendant's first crimes. He had two prior convictions for burglary, one for attempted murder, and one for armed robbery.

Walker cites the evidence of alcohol dependence as a mitigating factor showing severe mental disturbance. That Walker was under a mental disturbance at the time of the murders, however, was contradicted by the testimony of Douglas Phillips and Richard Jones, both of whom saw the defendant at various times that day. Their testimony was that Walker appeared

to be in full control of his faculties. Under similar circumstances this court declined to vacate the death penalties imposed in People v. Free (1983), 94 Ill.2d 378, and People v. Madej (1985), 106 Ill.2d 201, where there was evidence that the defendants had taken significant amounts of drugs and alcohol before the commission of crimes. Considering the vicious nature of the murders and the defendant's willingness to kill Jones there are not grounds to disturb the verdict of the jury. It cannot be said that the sentence was imposed on other than a reasoned basis.

The defendant challenges the constitutionality of the death penalty statute, but on grounds which have already been considered and rejected by this court. In People v. Stewart (1984), 104 Ill.2d 463, 493-94, we upheld, under the eighth and fourteenth amendments, a jury instruction

which directed the jury not to be governed by sympathy in its deliberations. (Illinois Pattern Jury Instructions (IPI), Criminal, No. 1.01(5) (2d ed. 1981).) The contention the defendant makes here is that the instruction prevented the jury from considering evidence of his alcohol dependency as a factor in mitigation. That, however, is unfounded because the jury was also instructed to consider "any other facts or circumstances that provide reasons for imposing less than the most severe sentence." (IPI Criminal 2d No. 7A.15.) The jury was thus directed to consider the evidence of Walker's dependency on alcohol, as well as any other evidence offered in mitigation, and nothing in this record persuades us to substitute our judgment for that of the jury. (People v. Caballero (1984), 102 Ill.2d 23, 46.) Too, Walker, as well as defense counsel, made two separate appeals

in argument to the jury's sense of mercy, which rebuts the defendant's claim that he was denied opportunity to have the jury persuaded against imposing the death penalty. People v. Stewart (1984), 104 Ill.2d 463, 494.

Similarly, Walker's argument that the death penalty statute is unconstitutional because it requires an emotional disturbance to be "extreme" before it may be considered as a factor in mitigation was rejected in People v. Madej (1985), 106 Ill.2d 201, 222. The jury, as we have noted, was instructed to consider all evidence in mitigation. It appears that the jury simply did not accept the defendant's contention in mitigation.

The argument that our statute vests unguided discretionary authority in the prosecutor was dismissed in People v. Stewart (1984), 105 Ill.2d 22, 75, People v. Silagy (1984), 101 Ill.2d 147, 161,

People v. Davis (1983), 95 Ill.2d 1, 28, People v. Szabo (1983), 94 Ill.2d 327, 351, and People ex rel. Carey v. Cousins (1979), 77 Ill.2d 531. The defendant's claim that the statute is invalid under the eighth and fourteenth amendments because it fails to establish an adequate procedure to allow a comparative review of those cases in which the death penalty is imposed is untenable. The contention was rejected in Pulley v. Harris (1984), 465 U.S. 37, 79 L.Ed.2d 29, 104 S.Ct. 871, and in People v. Stewart (1984), 104 Ill.2d 463, 499, People v. Silagy (1984), 101 Ill.2d 147, 161, People v. Williams (1983), 97 Ill.2d 252, 266, and People v. Kubat (1983), 94 Ill.2d 437, 502-04.

Walker also argues that the statute is unconstitutional because the sentencing jury was not required to make a specific finding that death was the appropriate sentence, but had only to determine if the

factors in mitigation were sufficient to preclude the imposition of that sentence. We have held, however, that in the statutory scheme there is the requirement that a jury carefully weigh all the factors "in order to reach a fair and just result, one that is based on the particular circumstances of the offense and the defendant." People v. Del Vecchio (1985), 105 Ill.2d 414, 446, quoting People v. Brownell (1980), 79 Ill.2d 508, 538.

Finally, this court has held, contrary to the defendant's complaint, that the death penalty statute clearly does not make English-speaking defendants subject to the death penalty while exempting defendants unable to speak English who, under section 104-22 of the Code of Criminal Procedure of 1963 (Ill. Rev. Stat. 1983, ch. 38, par. 104-22), require assistance to understand the trial

proceedings. People v. Stewart (1984), 104 Ill.2d 463, 499-502.

For the reasons given, the judgment of the circuit court of St. Clair County is affirmed. The clerk is ordered to enter an order setting Wednesday, the 28th day of May, 1986, as the date on which the sentence of death, entered by the circuit court of St. Clair County, shall be carried out. The defendant shall be executed by lethal injection in the manner provided by section 119-5 of the Code of Criminal Procedure of 1963 (Ill. Rev. Stat. 1983, ch. 38, par. 119-5). A certified copy of the mandate in this case shall be furnished by the clerk of this court to the Director of Corrections, to the warden at Stateville Corrections Center, and to the warden of the institution where the defendant is confined.

Judgment affirmed.

JUSTICE SIMON, dissenting:

I dissent from the court's decision to uphold the death sentence imposed in this case because the sentencing process was flawed by evidence elicited by the State which improperly appealed to the emotions of the jurors.

Firmly entrenched in the jurisprudence of this court is the proposition that a criminal defendant's guilt and the punishment to be exacted must be determined by a jury "uninfluenced by bias or prejudice." (People v. Bernette (1964), 30 Ill.2d 359, 371; People v. Holman (1984), 103 Ill.2d 133; People v. Ramirez (1983), 98 Ill.2d 439; People v. Gill (1973), 54 Ill.2d 357; Filippo v. People (1906), 224 Ill. 212.) Applying this rule, the court has repeatedly reversed convictions or vacated sentences where the prosecution, either by adducing improper evidence or by making emotional appeals in

argument, has drawn the jury's attention to the personal characteristics and relationships of the victim. (People v. Holman (1984), 103 Ill.2d 133; People v. Davis (1983), 97 Ill.2d 1; People v. Ramirez (1983), 98 Ill.2d 439; People v. Bernette (1964), 30 Ill.2d 359; People v. Gregory (1961), 22 Ill.2d 601; People v. Dukes (1957), 12 Ill.2d 334; Filippo v. People (1906), 224 Ill. 212.) The court's action in these cases was grounded in the recognition that "'such evidence has no relationship to the guilt or innocence of the accused or the punishment to be inflicted upon him' ***" (People v. Holman (1984), 103 Ill.2d 133, 166, quoting People v. Bernette (1964), 30 Ill.2d 359, 371), but serves only to "arouse in [the jurors] anger, hate and passion" against him (People v. Dukes (1957), 12 Ill.2d 334, 340).

Of course, not every mention of the personal characteristics of the victim triggers a response by this court; where such testimony is "elicited incidentally" no prejudice arises. (People v. Ramirez (1983), 98 Ill.2d 439, 453.) However, when testimony on the personal situation of the victim is "presented in such a way as to give the jury to understand that it was *** material," such evidence is highly prejudicial. (Filippo v. People (1906), 224 Ill. 212, 217; People v. Dukes (1957), 12 Ill.2d 334, 340.) This court has frequently inferred from the nature of the evidence that the prosecution intended only to inflame the jury. See, e.g., People v. Ramirez (1983), 98 Ill.2d 439; Filippo v. People (1906), 224 Ill. 212.

Here the State called two witnesses during the second stage of the sentencing hearing. The first, the sister of the victim Winker, testified to the victim's

age, education, the fact that Winker was engaged to the victim Paule, the wedding date, Winker's lack of a criminal record, and her interest in reading and Sunday school. The second witness was the grandfather of the other victim. He testified to Paule's age, employment, education, the fact that he was to be married to Winker, and the fact that the victim had never been in trouble.

The majority apparently believes that the "more liberal position" on evidence at the sentencing hearing justifies the introduction of this evidence. (Slip op. at 13.) I do not agree. No matter how broad the relevance standard applied, the testimony here cannot pass muster. The background of the victims and their relationship was singularly irrelevant to any issue at the sentencing hearing; the only issue at the second stage of the hearing is the existence of factors in

mitigation and aggravation. The fact that the victims were youthful, law-abiding members of the community and were to be married could have no relevance to that issue unless the court is willing to take the position that murder is more heinous when perpetrated against an upstanding member of the community, and thus the character of the victim is a factor in aggravation. Such a principle, however, would offend our most basic notions of equality and justice.

The obvious purpose for which the prosecution called these witnesses was to play upon the jurors' emotions in viewing the tragic deaths of the young victims. There can be no claim here that the testimony was "incidental," inasmuch as the victims' family members were the only witnesses called at the second stage and their testimony related to nothing but the victims' characters. The jury could not

have helped but believe that the victims' characters were material to deciding where the death penalty should be imposed.

Despite the highly prejudicial nature of the evidence, the majority refuses to consider the error because it was not objected to at trial. As the majority sees it, this testimony did not constitute plain error "affecting substantial rights." (See 87 Ill.2d R. 615(1).) In my view, there can be few, if any, rights more substantial than the right of one in jeopardy of his life to a sentencing jury uninfluenced by partiality and prejudice. Moreover, the majority's citation of two cases refusing to employ the plain-error rule in this context, while accurate, is also selective. This court has also twice held recently that the propriety of evidence or argument at the sentencing hearing relating to the victim's family can be considered under the plain-error

rule. (People v. Holman (1984), 104 Ill.2d 133, 176-77; People v. Davis (1983), 97 Ill.2d 1, 26-27; see also People v. Bernette (1964), 30 Ill.2d 359, 372, People v. Szabo (1983), 94 Ill.2d 327, 354-55, 363-65.) In Holman, the court explained that this type of error should be considered notwithstanding the defendant's failure to object "because of the qualitative difference between death and other forms of punishment and the '"high standard of procedural accuracy [that] is required in determining whether or not [the death] penalty will be imposed."'" (People v. Holman (1984), 103 Ill.2d 133, 177, quoting People v. Davis (1983), 97 Ill.2d 1, 26-27, and People v. Walker (1982), 91 Ill.2d 502, 517.) Similarly, in People v. Davis (1983), 97 Ill.2d 1, 27, the court considered the argument despite the fact that no objection was made because the possibility that

the jury might have been influenced at the first stage of the sentencing hearing by prejudicial evidence "should not be tolerated." And in the watershed case of Bernette, this court overturned a conviction and death sentence, even without objection to the evidence, on the ground that "the irrelevancy and highly prejudicial nature of such evidence is so well established, that it was the duty of the court in a murder case to have refused it on its own motion." 30 Ill.2d 359, 372.

I would note that, apart from the consequence that prejudicial error is overlooked, the uneven application of the plain-error rule in circumstances virtually indistinguishable gives our decisions an unpredictable quality which should not be tolerated in a system of equal justice, especially in view of the fact that we are considering the imposition of a death sentence. In addition, it encourages

prosecutors to attempt to get by with what they can when they think defense counsel may overlook it. It appears to me that we have been giving inconsistent signals to prosecutors on the propriety of trial tactics such as those used here and that this would be a good case to try to clarify the standards to be used.

Finally, for the reasons set forth in my separate opinions in People v. Lewis (1981), 88 Ill.2d 129, 179 (Simon, J., dissenting), in People v. Silagy (1984), 101 Ill.2d 147, 184 (Simon, J., concurring in part and dissenting in part), and in People v. Albanese (1984), 104 Ill.2d 504, 549 (Simon, J., concurring in part and dissenting in part), I believe that the Illinois death penalty statute is unconstitutional and that therefore no sentence imposed under the statute can stand. For these reasons, I think the sentence of

death imposed on defendant should be vacated.

APPENDIX B

59212 ILLINOIS SUPREME COURT
JULEANN HORNYAK, CLERK
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February 4, 1986

Mr. Charles M. Schiedel
State Appellate Defender
Supreme Court Unit
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Springfield, IL 62701

No. 59212 - People State of Illinois, appellee, v. Charles Walker, appellant. Appeal, Circuit Court (St. Clair).

The Supreme Court today DENIED the petition for rehearing in the above entitled cause.

The mandate of this Court will issue to the appropriate Appellate Court and/or Circuit Court or other agency on February 14, 1986.

Enclosed are corrected pages of Justice Simon's dissent. Please note that Justice Simon concurs in part and dissents in part.

APPENDIX C

No. 59352

IN THE

SUPREME COURT OF ILLINOIS

People ex rel., Robert Morrow, State's Attorney of Kane County, petitioner,	)	
vs.	)	Mandamus
Hon. John L. Nickels, Circuit Judge of the 16th Judicial Circuit and Jack S. Tansil, respondents	)	

ORDER

The motion by petitioner for leave to file a petition for an original writ of mandamus is denied. In the exercise of this Court's supervisory jurisdiction, the Appellate Court, Second District, is ordered to dismiss the pending appeal in People vs. Tansil, No. 83-804, and to remand that cause to the Circuit Court of Kane County. Upon remand, the Hon. John L. Nickels, Circuit Judge of the Sixteenth Judicial Circuit, is ordered to vacate his order of September 1, 1983,

entered in People vs. Tansil, No. 83-CF-703, in the Circuit Court of Kane County, sentencing the defendant, Jack S. Tansil, to a 30-year term of imprisonment on each of two convictions for murder, to be served concurrently. Judge Nickels is further ordered to sentence the defendant to a term of natural life imprisonment pursuant to section 5-8-1(a)(1)(c) of the Unified Code of Corrections (Ill. Rev. Stat. 1981, ch. 38, par. 1005-8-1(a)(1)(c)). (People v. Taylor (May 25, 1984), No. 58731.) Following the entry of that sentencing order, the defendant may, if he chooses, file a notice of appeal pursuant to Rule 606.

FILED

June 21, 1984

SUPREME COURT CLERK

NO. _____

IN THE

SUPREME COURT OF THE UNITED STATES

October Term, 1986

CHARLES WALKER, Petitioner

-vs-

PEOPLE OF THE STATE OF ILLINOIS,
Respondent

NOTICE AND PROOF OF SERVICE

TO: Honorable Joseph F. Spaniol, Jr.
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Please take notice that I have mailed the original and 40 copies of the Supplemental Appendices to Petition for Writ of Certiorari to the Clerk of the above Court and that I am serving the Attorney General and State's Attorney each with one copy and I am serving the petitioner with one copy by depositing the copies in the mail in Springfield, Illinois, with sufficient prepaid postage and addressed as indicated above on this 20TH day of AUGUST, 1986.

GARY S. RAPAPORT
Assistant Defender

Subscribed and sworn to
before me on this 20th
day of August, 1986.

Arlene Kay Montgomery
NOTARY PUBLIC

No. 86-277

Supreme Court, U.S.
FILED
OCT 29 1986
JOSEPH F. SPANIOL, JR.
CLERK

IN THE

Supreme Court of the United States

OCTOBER TERM, 1986

CHARLES WALKER,

Petitioner,

v.

PEOPLE OF THE STATE OF ILLINOIS,

Respondent.

On Petition For A Writ Of Certiorari To The Supreme Court Of Illinois

RESPONDENT'S BRIEF IN OPPOSITION

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Printed by Authority of the State of Illinois (P.O. 33428—55—10-29-86)

QUESTIONS PRESENTED FOR REVIEW

1. Whether this petition is properly before the court where petitioner has refused to consent to the filing of the action, as evidenced by his unwillingness to sign a petition seeking to proceed *in forma pauperis*.
2. Whether petitioner's guilty plea was knowing and intelligent where he was correctly admonished that the minimum sentence for murder was twenty (20) years.
3. Whether petitioner's guilty plea was knowing and intelligent where the record demonstrates his awareness that the minimum sentence he would receive would be natural life.
4. Whether petitioner's guilty plea was knowing and intelligent where he was advised that he would be sentenced to a term of imprisonment only if the jury rejected the death penalty and the jury in fact sentenced petitioner to death.

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In The

Supreme Court of the United States

October Term, 1986

CHARLES WALKER,

Petitioner,

v.

PEOPLE OF THE STATE OF ILLINOIS,

Respondent.

On Petition For A Writ Of Certiorari
To The Supreme Court Of Illinois

RESPONDENT'S BRIEF IN OPPOSITION

STATEMENT OF THE CASE

Charles Walker ("petitioner") was charged by information with the murder of Kevin Paule and Sharon Winker and the armed robbery of Richard Jones. Prior to trial the prosecution announced its intent to seek the death penalty. Petitioner was then found guilty following a plea of guilty. During the plea proceedings the trial court advised petitioner that the minimum sentence for murder was twenty (20) years. A jury sentenced petitioner to death. On appeal, the Illinois Supreme Court affirmed the judgment against petitioner, finding that petitioner was correctly advised of the possible sentence and that, re-

gardless, petitioner was not prejudiced by the court's admonishment. *People v. Walker*, 109 Ill.2d 484, 497-98 (1985).

On April 14, 1986, counsel for petitioner filed a Petition for Writ of Certiorari in this Court. Petitioner refused to execute a petition for leave to proceed *in forma pauperis*. As a result, on August 14, 1986, counsel for petitioner paid the filing fee in this Court and re-filed the petition.

REASONS FOR DENYING THE WRIT

I.

THIS COURT SHOULD DENY CERTIORARI BECAUSE PETITIONER HAS SHOWN THAT HE DOES NOT WISH TO PROCEED IN THIS COURT.

On April 4, 1986, counsel for petitioner filed a Petition for Writ of Certiorari in this Court. However, petitioner refused to execute a petition for leave to proceed *in forma pauperis*.[1] Subsequently, counsel for petitioner paid this Court's filing fee, changed the form of the petition, and re-filed. The petition was then docketed.

Counsel for petitioner does not have standing to present this petition. Standing would be proper only if counsel sought to proceed as a "next friend." *Gilmore v. Utah*, 429 U.S. 1012, 1013, 97 S.Ct. 436, 50 L.Ed.2d 632 (1970). Counsel has filed no such application. In addition, any attempt to proceed as a next friend would fail where there

[1] Petitioner's desire to terminate counsel's representation is well-documented. His *pro se* motion to terminate proceedings in the Illinois Supreme Court was denied. (See Appendix)

is no evidence that petitioner is unable to seek relief in his own behalf. *Rosenberg v. United States*, 346 U.S. 273, 291, 73 S.Ct. 1152, 1161, 97 L.Ed. 1607 (1957).

For these reasons, the present petition should be dismissed.

II.

PETITIONER'S GUILTY PLEA WAS KNOWING AND INTELLIGENT WHERE HE WAS CORRECTLY ADMONISHED CONCERNING THE POSSIBLE SENTENCE AND WHERE, REGARDLESS, HE WAS NOT PREJUDICED WHERE HE UNDERSTOOD THAT THE MINIMUM SENTENCE HE WOULD RECEIVE WOULD BE NATURAL LIFE.

Petitioner argues that his plea was involuntary for purposes of this Court's decision in *Boykin v. Alabama*, 395 U.S. 239, 89 S.Ct. 1709, 23 L.Ed.2d 274 (1969), because he was incorrectly advised prior to pleading guilty that the minimum prison term for murder was twenty (20) years. This argument fails where, as the Illinois Supreme Court ruled, the minimum sentence was twenty (20) years. In addition, regardless of the correctness of the admonishment, the record indicates that the plea was knowing and intelligent because petitioner had no expectation of receiving a prison term of less than natural life. Finally, petitioner was not prejudiced because a term of imprisonment was possible only if petitioner was not sentenced to death.

A. Petitioner Waived The Contention Raised Here Where He Failed To Raise It In Either Of His Motions To Vacate His Guilty Plea.

Illinois Supreme Court Rule 604(d) provides that:

> Upon appeal any issue not raised by the defendant in the motion to withdraw the plea of guilty and vacate the judgment shall be deemed waived.

Ill. Rev. Stat., 1983, ch. 110A, sec. 604(d). See: *People v. Paul*, 93 Ill. App. 3d 302, 417 N.E.2d 251 (2d Dist. 1981), and *People v. Tompkins*, 24 Ill. App. 3d 470, 321 N.E.2d 326 (1st Dist. 1974). In the present case petitioner filed two motions seeking to vacate his guilty plea. However, he did not allege that the guilty plea was involuntary because of an incorrect admonishment concerning the minimum sentence for murder. For this reason petitioner has waived consideration of the issue, *People v. McKean*, 94 Ill. App. 3d 502, 418 N.E.2d 1130 (2d Dist. 1981); *People v. Jones*, 74 Ill. App. 3d 243, 392 N.E.2d 973 (1st Dist. 1979), rendering review by this Court improper.

B. Petitioner Was Correctly Admonished That The Minimum Sentence For Murder Was Twenty Years.

Petitioner's argument hinges on his conclusion that Illinois law requires a minimum sentence of natural life for petitioner's commission of two (2) murders. *Ill. Rev. Stat.*, 1980, ch. 38, sec. 1005-8-1(a)(1)(c). Petitioner bases this argument on the Illinois Supreme Court's decision in *People v. Taylor*, 102 Ill.2d 201, 464 N.E.2d 1059 (1984). However, at the time of petitioner's guilty plea *Taylor* had not been decided. The Illinois Supreme Court interpreted this to mean that a natural life term was not required and the trial court's admonishment was correct. *People v. Walker*, 109 Ill.2d 484, 497 (1985). Contrary to petitioner's suggestion, this Court should not interfere with the Illinois courts' interpretation of its own statute.

C. Petitioner's Guilty Plea Was Knowing And Intelligent Where He Understood That The Minimum Sentence Which He Would Receive For His Crimes Was Natural Life.

The Illinois Supreme Court adopted Rule 402 in order to comply with this Court's decision in *Boykin v. Alabama*,

395 U.S. 238, 89 S.Ct. 1709, 23 L.Ed.2d 274 (1969). (*Ill. Rev. Stat.*, 1981, ch. 110A, sec. 402) requires only substantial, not literal, compliance with its provisions. *People v. Krantz*, 58 Ill.2d 187, 317 N.E.2d 559 (1974); *People v. McCoy*, 74 Ill.2d 398, 385 N.E.2d 696 (1979). Even when a defendant is incorrectly admonished pursuant to Rule 402, Illinois courts refuse to vacate a guilty plea absent proof of prejudice to the defendant. *People v. Miller*, 107 Ill. App. 3d 1078, 438 N.E.2d 643 (1st Dist. 1982); *People v. Hoyer*, 100 Ill. App. 3d 418, 420, 426 N.E.2d 1139 (1st Dist. 1980).

The record in this case reveals that petitioner was not prejudiced by the trial court's admonishment. Obviously, prejudice exists here only if petitioner believed that he might be sentenced to a term of imprisonment of less than natural life if he entered a plea of guilty. However, the record indicates that petitioner can make no such claim. During his testimony at sentencing, petitioner pleaded with the jury to spare his life, asking for nothing more than to be sentenced to the penitentiary for the rest of his life. (R. 309) During closing argument defense counsel stated that he was:

> [P]leading for [petitioner] to be thrown in four dark walls and bars and deprived of his liberty for the rest of his life. (R. 322)

Counsel also argued:

> I must ask for him to be able to spend the rest of his life behind four walls, with hostile guards. (R. 323)

Based on these facts it is clear that petitioner did not anticipate the possibility of a sentence less severe than natural life. The incorrect admonishment then did not prejudice him in any way. As the Illinois Supreme Court noted, "Walker's contention that he pleaded guilty because of a belief from the court's admonition that he could be

sentenced to a term of years is untenable on this record." *People v. Walker*, 119 Ill.2d 484, 499 (1985).

The cases cited by petitioner are not dispositive of this issue. For example, in *People v. Fred*, 17 Ill. App. 3d 730, 308 N.E.2d 219 (4th Dist. 1974), an armed robbery prosecution for that offense was two (2) years when it actually was five (5) years. Counsel argued that defendant should receive the minimum sentence and defendant was in fact sentenced to an indeterminate prison term of between five (5) and ten (10) years. 308 N.E.2d at 220-21. On appeal, the Court found that the incorrect admonishment rendered defendant's plea involuntary. 308 N.E.2d at 221. The holding in *Fred* is not controlling in the present case because the defendant there expected a sentence which was less than the actual statutory minimum. In the present case petitioner had no such expectation.

Because petitioner understood that the minimum sentence which would apply in this case was natural life, his guilty plea was knowing and intelligent. For this reason certiorari should be denied.

D. Petitioner Was Not Prejudiced Where He Knew At The Time Of The Plea That A Term Of Years Was Possible Only If The Death Penalty Was Not Imposed.

The prosecution indicated its intent to seek the death penalty prior to petitioner's guilty plea. After being found guilty, petitioner chose to be sentenced by a jury. Therefore, at the time of the plea, petitioner was aware that, under Illinois law, he was eligible for a prison term only if the jury rejected the death penalty. *Ill. Rev. Stat.*, 1983, ch. 38, sec. 9-1(g). However, the jury sentenced petitioner to death. For this reason petitioner was not prejudiced by the trial court's admonishment.

CONCLUSION

For these reasons, respondent requests this Court to deny the Petition for a Writ of Certiorari in this cause.

Respectfully submitted,

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APPENDIX

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[Filed 1-16-86]

CHARLES T. WALKER
REG. NO. A-76374
CONDEMN UNIT
MENARD, ILL. 62259

SUPREME COURT CLERK
SUPREME COURT BUILDING
SPRINGFIELD, ILL 62706

ATT'N: SUPREME COURT JUSTICES

WITH THIS LETTER I OFFICIALLY INFORM THE COURT THAT I WISH TO SUSPEND AND HALT ALL FURTHER PETITIONS AND APPEALS IN MY CASE. AS ACCORDING TO ILLINOIS STATE LAW A DEFENDANT MAY HALT PROCEEDINGS ONCE AN APPEAL HAS BEEN DENIED. ON FRIDAY DEC. 20, 1985 A DENIAL WAS HANDED DOWN ON MY CASE (DOCKET NO. 59212). WITH MANDATE TO BE ISSUED ON JAN. 17, 1986.

I HAVE INFORMED THE STATE APPELLATE DEFENDER'S OFFICE OF MY DECISION TO HALT ANY FURTHER ACTION, WHICH THEY SEEM LIKE THEY DON'T WANT TO ACCEPT. SO ANY FURTHER ACTION BY THEM IS WITHOUT MY APPROVAL.

I DO HAVE ONE QUESTION TO ASK. AND THAT IS SINCE LETHAL INJECTION IS THE METHOD OF EXECUTION IS IT POSSIBLE FOR ME TO BE EXECUTED HERE AT MENARD. MY FAMILY PLANS ON CLAIMING MY BODY AND HAVING IT BURIED IN THE FAMILY BURIAL PLOT.

THANK YOU FOR YOUR TIME AND CONSIDERATION.

RESPECTFULLY YOURS,

/s/ Charles T. Walker
CHARLES T. WALKER

[Notarization omitted in printing.]

App. 2

IN THE SUPREME COURT OF ILLINOIS

PEOPLE OF THE STATE OF ILLINOIS,

Plaintiff-Appellee,

No. 59212 v.

CHARLES WALKER,

Defendant-Appellant.

Appeal from the Circuit Court of the Twentieth Judicial Circuit, St. Clair County, Illinois, No. 83-CF-491.
Honorable John J. Hoban, *Judge Presiding.*

RESPONSE TO THE MOTION BY *PRO SE* APPELLANT TO TERMINATE FURTHER PROCEEDINGS

NOTICE AND PROOF OF SERVICE

CHARLES M. SCHIEDEL
Deputy Defender
Office of the State
Appellate Defender
Supreme Court Unit
300 East Monroe, Suite 202
Springfield, IL 62701
(217) 782-1989

LAWRENCE J. ESSIG
Appellate Defender

Counsel for Defendant-Appellant

IN THE SUPREME COURT OF ILLINOIS

PEOPLE OF THE STATE OF ILLINOIS,

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Now comes LAWRENCE J. ESSIG, Supreme Court Unit, Office of the State Appellate Defender, counsel for appellant CHARLES WALKER in the above-entitled cause, and states on oath:

1. That the present cause involves the imposition of the death penalty.

2. That on December 20, 1985, this Court filed an opinion affirming appellant's convictions and sentence of death, and ordered that the mandate would not issue if a Petition for Rehearing were filed by January 10, 1986.

3. That on January 10, 1986, a Petition for Rehearing in this cause was filed.

4. That on January 28, 1986, this Court ordered that the Office of the State Appellate Defender respond to the motion by *pro se* appellant to terminate these proceedings in this case.

5. That counsel responds by acknowledging that appellant has expressed a desire to terminate these proceedings. However, counsel also has had direct communications with many close friends and relatives of the appellant since the time of the filing of his motion, and appellant has at times indicated that he may reconsider his position. This situation is consistent with the history of other capital defendants in similar situations here in Illinois. *People v. Davis*, 95 Ill.2d 1 (Docket No. 54276) (1983); *People v. Silagy*, 101 Ill.2d 147 (Docket No. 53776) (1984). In these cases, each of the capital defendants indicated a desire to terminate his appeal, but later changed his mind and is now actively engaged in pursuing relief in his case.

6. That counsel also submits that the pending Petition for Rehearing is an integral part of the mandatory direct appeal in this capital prosecution. Prior rulings of this Court have confirmed the importance of rehearing petitions in the direct appeal process, particularly in capital cases. See *People v. Williams*, 93 Ill.2d 309, 444 N.E.2d 136 (1982).

7. That in order to insure the rational and consistent review of death penalty cases in Illinois, this Court should continue here its practice of reviewing rehearing petitions in capital cases.

WHEREFORE, counsel respectfully requests that this Court deny *pro se* appellant's motion to terminate the proceedings in this case.

Respectfully submitted,

/s/ Lawrence J. Essig
LAWRENCE J. ESSIG
Appellate Defender

[Notarization omitted in printing.]

IN THE SUPREME COURT OF ILLINOIS

PEOPLE OF THE STATE OF ILLINOIS,

Plaintiff-Appellee,

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CHARLES WALKER,

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Honorable John J. Hoban, *Judge Presiding.*

NOTICE AND PROOF OF SERVICE

TO: Neil F. Hartigan
Attorney General
188 W. Randolph, Suite 2200
Chicago, IL 60601

John Baricevic
State's Attorney
10 Pulbic Square
Belleville, IL 62220

Mr. Charles Walker
Register No. A-76374
P. O. Box 711
Menard, Illinois 62259

All Justices of the
Supreme Court

Please take notice that I have delivered the original of the Response to the Motion by *Pro Se* to Terminate Further Proceedings to the Clerk of the above Court and that I am serving the Attorney General and State's Attorney with one copy each, the Defendant with one copy, and all Justices with one copy each by depositing the copies in the mail in Springfield, Illinois, with sufficient prepaid

postage and addressed as indicated above on this 31st day of January, 1986.

/s/ Sheila A. Hustava
SHEILA A. HUSTAVA
Legal Secretary

[Notarization omitted in printing.]

CHARLES T. WALKER
REG. NO. A-76374
BOX 711 CONDEMN UNIT
MENARD, ILL. 62259

SUPREME COURT CLERK
SUPREME COURT BUILDING
SPRINGFIELD, ILL. 62706

ATT'N SUPREME COURT JUSTICES

WITH THIS LETTER I AM REFUTING SOME OF THE ALLEGATIONS MADE BY THE APPELLATE DEFENDER'S OFFICE IN THEIR RESPONSE TO ME WANTING TO TERMINATE ALL LEGAL PROCEEDINGS IN MY CASE.

1. ON DEC. 20, 1985 WHEN THE APPELLATE DEFENDERS OFFICE NOTIFIED ME THAT MY APPEAL HAD BEEN TURNED DOWN I TOLD THEM THEN THAT I NEVER WANTED HIM TO FILE THE PETITION FOR REHEARING.

2. ON DEC. 27, 1985 I SENT THE SUPREME COURT CLERK A NOTARIZED LETTER STATING THAT I WISHED TO STOP ALL APPEALS AND THAT A MANDATE BE ISSUED SETTING MY EXECUTION DATE.

3. ON JAN. 10, 1986 THE APPELLATE DEFENDERS OFFICE WENT AHEAD AND FILED A REHEARING PETITION WITHOUT MY PERMISSION.

4. ON JAN. 22, 1986 THE SUPREME COURT CLERK PRESENTED MY LETTER BEFORE YOU FOR CONSIDERATION----WHAT WAS THE DELAY ?????

5. ACCORDING TO ILLINOIS STATE LAW I HAVE THE RIGHT TO STOP ALL LEGAL PROCEEDINGS ANYTIME AFTER THE FIRST APPEAL IS HEARD AND CAN ASK THAT A MANDATE BE ISSUED SETTING AN EXECUTION DATE AND THAT IS WHAT I AM DOING. . . WHY AM I BEING DENIED MY CIVIL RIGHTS.???????

6. IN THE APPELLATE DEFENDERS RESPONSE HE STATES THAT I HAVE INDICATED THAT I MAY RECONSIDER MY POSITION---THAT IS AN OUTRIGHT LIE AS I TOLD THEM MY MIND WAS MADE UP AND I WASN'T GOING TO CHANGE IT. ALSO MY MY FAMILY HAS TOLD THEM THE SAME AND WISH THE SENTENCE TO BE CARRIED OUT HERE IS THEIR ADDRESSE IF YOU WANT TO CONFIRM IT SISTER LILA DOCHERTY P.O.BOX 225 OKAWVILLE, IL. 62271 PHONE 618-243-5539 SISTER MARGIE JESENICK 1014 E. MAIN ST. BELLEVILLE, IL. 62221 PHONE 618-235-9693 BROTHER DOUGLAS WALKER MAIN ST. LENZBERG, ILL. NO PHONE MOTHER LUCILLE KECK 329 N. 4th ST. BELLEVILLE, ILL PHONE 618-235-7296

7. MY CASE WAS BEFORE YOU FOR TEN MONTHS AND WAS THEN DENIED AND THEN JUST 21 DAYS LATER YOU ACCEPT A PETITION FOR REHEARING THE SAME ISSUES THAT YOU HAD JUST DENIED. SEEMS TO ME THAT MAYBE SOME OF YOU ARE FICKLE MINDED AND CAN'T DECIDE WHAT YOU THINK. MAYBE IT COULD BE THAT SOME OF YOU ARE NOT QUALIFIED TO BE SITTING ON THAT BENCH SINCE YOU DON'T KNOW YOUR OWN MIND.

8. I WANT YOU TO DECIDE ON MY DESIRE TO TERMINATE MY APPEALS NOT ON THE TWO PREVIOUS MEN WHO CHANGED THEIR MINDS AS I AM MY OWN PERSON.

RESPECTFULLY YOURS,

/s/ Charles T. Walker
CHARLES T. WALKER

[Notarization omitted in printing.]

(Letterhead Of)

State of Illinois
SUPREME COURT CLERK
Supreme Court Building
Springfield 62706

February 7, 1986

Mr. Charles T. Walker
Reg. No. A-76374
Box 711
Menard, IL 62259

THE COURT HAS TODAY ENTERED THE FOLLOWING ORDER IN THE CASE OF:

No. 59212—People State of Illinois, appellee, v. Charles Walker, appellant.

The motion by appellant, pro se, to terminate further proceedings in this case is *denied.*

JH:sdl

cc: AG/Crim (Scott Graham)
SA/St. Clair
SAD/SCU (Lawrence J Essig)

JULEANN HORNYAK, CLERK

CHARLES T. WALKER
REG. NO. A-76374
BOX 711 CONDEMN UNIT
MENARD, IL. 62259

NEIL F. HARTIGAN
ATTORNEY GENERAL
188 W. RANDOLPH, SUITE 2200
CHICAGO, IL. 60601

DEAR SIR:

TODAY FEB. 8, 1986 I RECEIVED AN ORDER FROM THE ILLINOIS STATE SUPREME COURT DENYING ME THE RIGHT TO STOP ALL LEGAL PROCEEDINGS AND HAVE AN EXECUTION DATE SET.

NOW, THE QUESTION IS HOW CAN THE COURT DO THIS AS THE ILLINOIS STATE LAW STATES THAT A PERSON SENTENCED TO DEATH MUST HAVE HIS SENTENCE APPEALED AUTOMATICALLY BUT, ANYTIME AFTER THAT APPEAL HAS BEEN TURNED DOWN HE HAS THE OPTION TO STOP ANY MORE APPEALS.

I WAS DENIED MY APPEAL AND I HAVE ACCEPTED THE SENTENCE THAT WAS GIVEN TO ME BY A JURY AND ASK THAT IT BE CARRIED OUT. IF I CAN ACCEPT THE JURYS DECISION THEN WHY CAN'T THE SUPREME COURT ACCEPT IT.

MY FAMILY HAS ALREADY MADE MY FUNERAL ARRANGEMENT AS THEY HAVE ALREADY ACCEPTED THE SENTENCE ALSO, AND HAVE TOLD THE PUBLIC APPELLATE DEFENDERS OFFICE THAT THEY ALSO WISH THAT ALL LEGAL PROCEEDINGS TO BE STOPPED.

I THOUGHT THAT IT WAS THE STATE SUPREME COURTS JOB TO SEE THAT THE ILLINOIS STATE LAW WAS TO BE ADMINISTERED CORRECTLY AND IF

THEY FIND THAT THEY DON'T AGREE WITH IT THEN THEY SHOULD ABOLISH THAT LAW, OR MAYBE IT IS THEY ARE JUST TRYING TO SIDESTEP THE ISSUE OF WHETHER IT IS CONSTITUTIONAL OR NOT.

I WOULD APPRECIATE IF YOU COULD GIVE ME SOME ADVISE ON WHAT STEPS I SHOULD TAKE NEXT???

RESPECTFULLY YOURS,

/s/ Charles T. Walker
CHARLES T. WALKER